

# **PROBIOTICS, PROBIOTIC TECHNOLOGY**

**Wilbert Sybesma**

**EPFL Food Biotech**

**Only for Teaching Purposes  
Personal Copy**

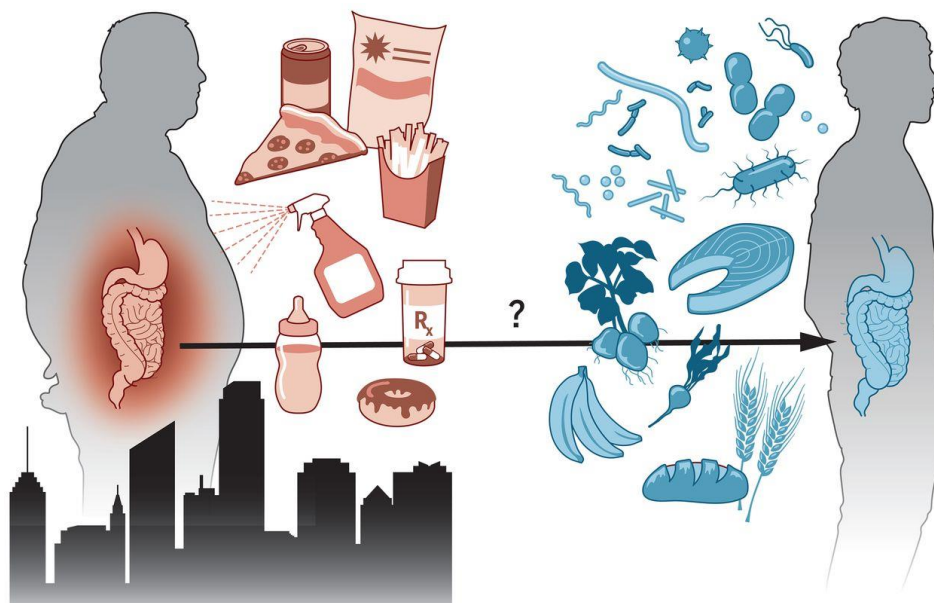
# WE ARE MADE UP OF MORE BACTERIA THAN THE BODY'S OWN CELLS. MOST BACTERIA ARE FOUND IN THE



*In general, we can say that the more diverse the composition of our microbiome, the more resistant we are to bad external influences*

***By increasing diversity, you increase RESILIENCE***

# INDUSTRIALIZATION AFFECTS THE HUMAN GUT MICROBIOTA



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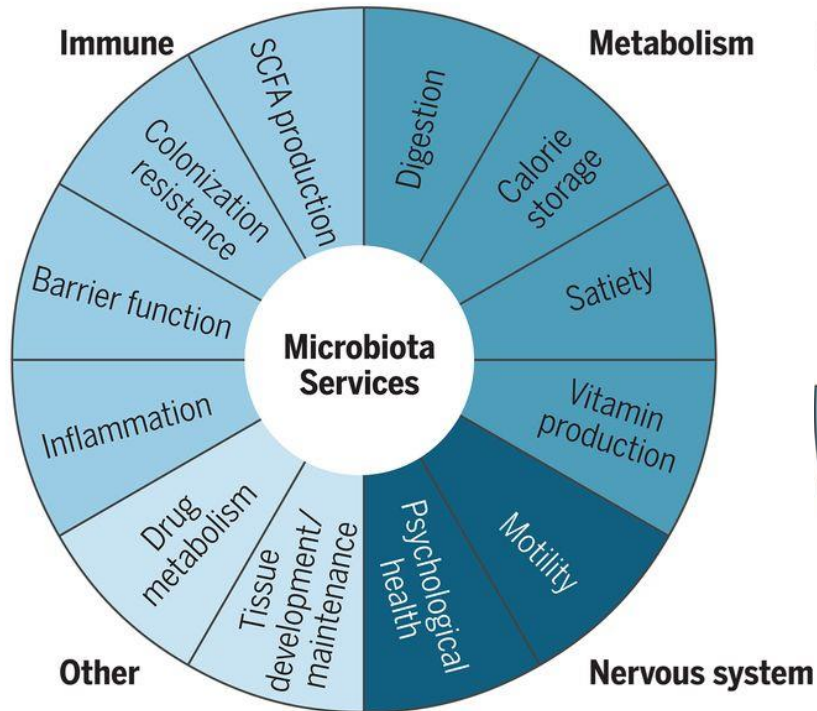
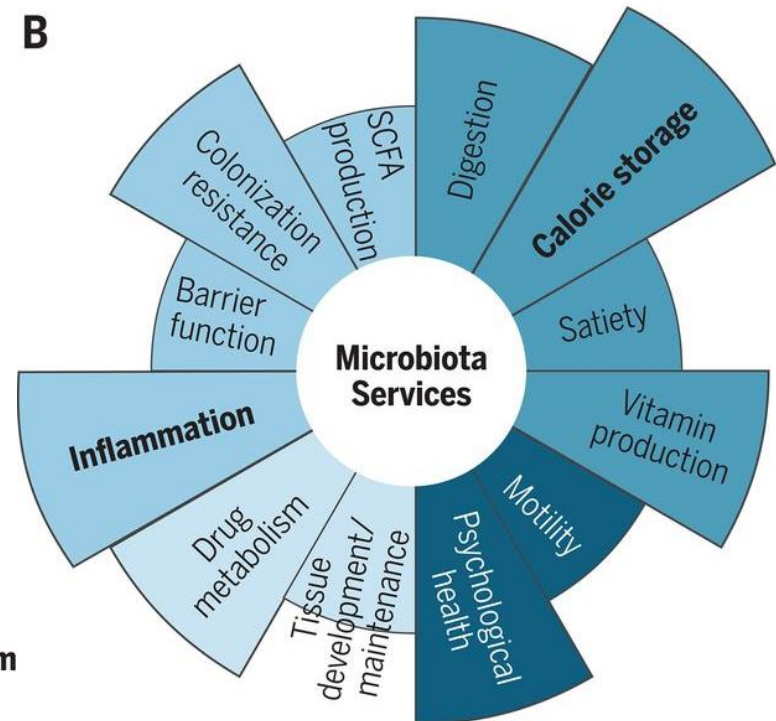
[f](#) [t](#) [in](#) [g+](#) [v](#)

**Vulnerability of the industrialized microbiota**

[JUSTIN L. SONNENBURG](#) AND [ERICA D. SONNENBURG](#) [Authors Info & Affiliations](#)

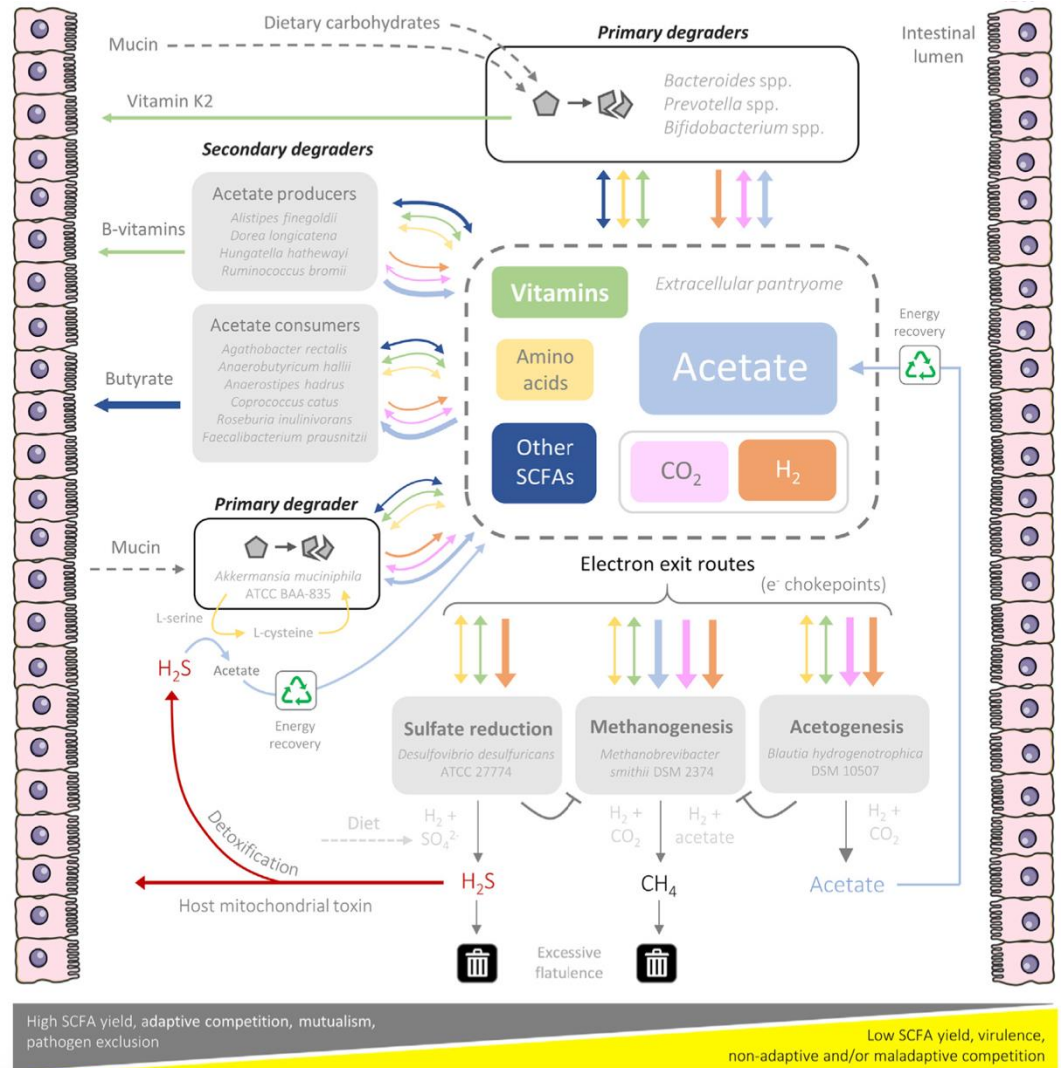
SCIENCE • 25 Oct 2019 • Vol 366, Issue 6464 • DOI: 10.1126/science.aaa9253

# WHAT ARE MICROBIOTA SERVICES?

**A****B**



# A COMPLEX AND DIVERSE ECOSYSTEM IS BASED ON MANY COLLABORATING MICROORGANISMS



Daisley BA, Koenig D, Engelbrecht K, Doney L, Hards K, Al KF, Reid G, Burton JP. Emerging connections between gut microbiome bioenergetics and chronic metabolic diseases. Cell Rep. 2021 Dec 7;37(10):110087. doi: 10.1016/j.celrep.2021.110087. PMID: 34879270.

## A 'pact' between humans and microbes

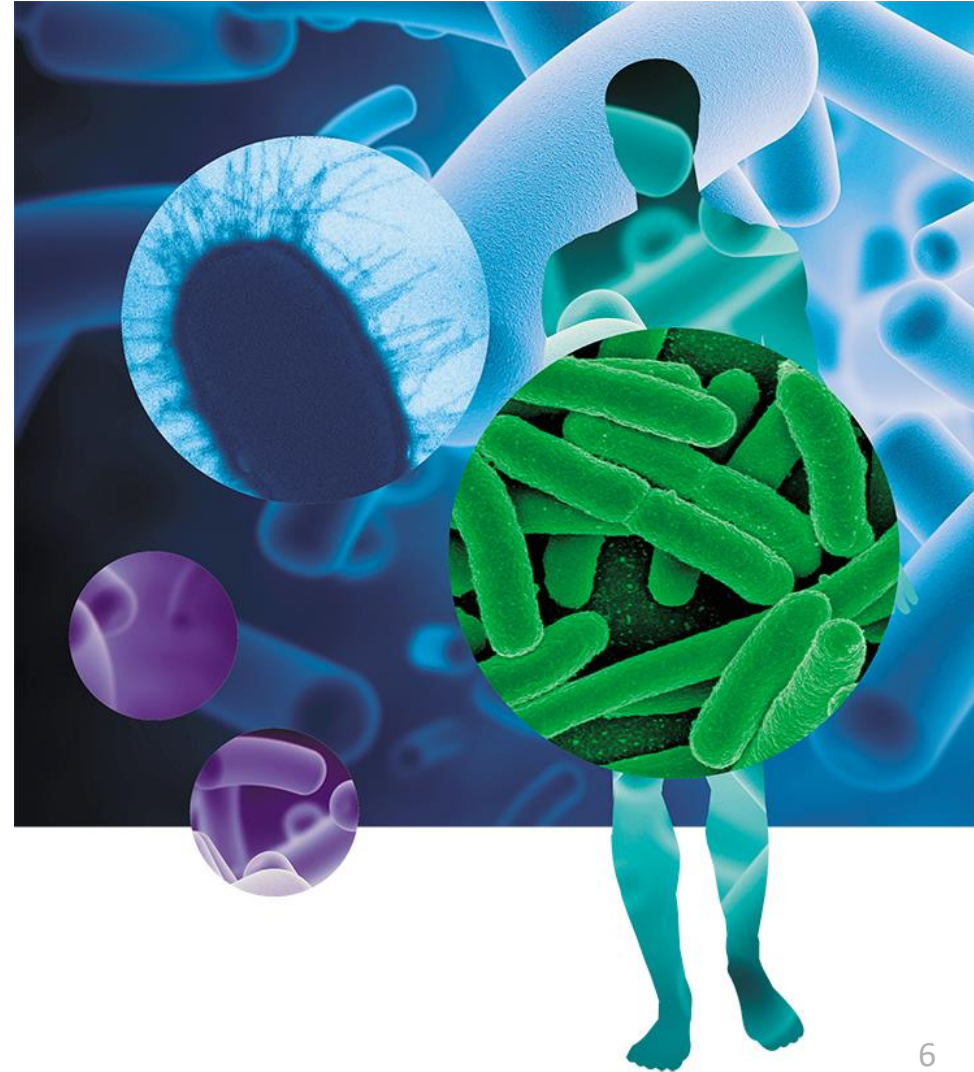
**We .....**

1. Give the bacteria give our body, as a 'home' to live in
2. Feed the bacteria



**They. ....**

3. Protect us from bad bacteria
4. Strengthen our immune system.





**Herbert George Wells**  
(21 September 1866 – 13 August 1946)

# *The WAR of the WORLDS*

*By H. G. Wells*

Author of "Under the Knife," "The Time Machine," etc.

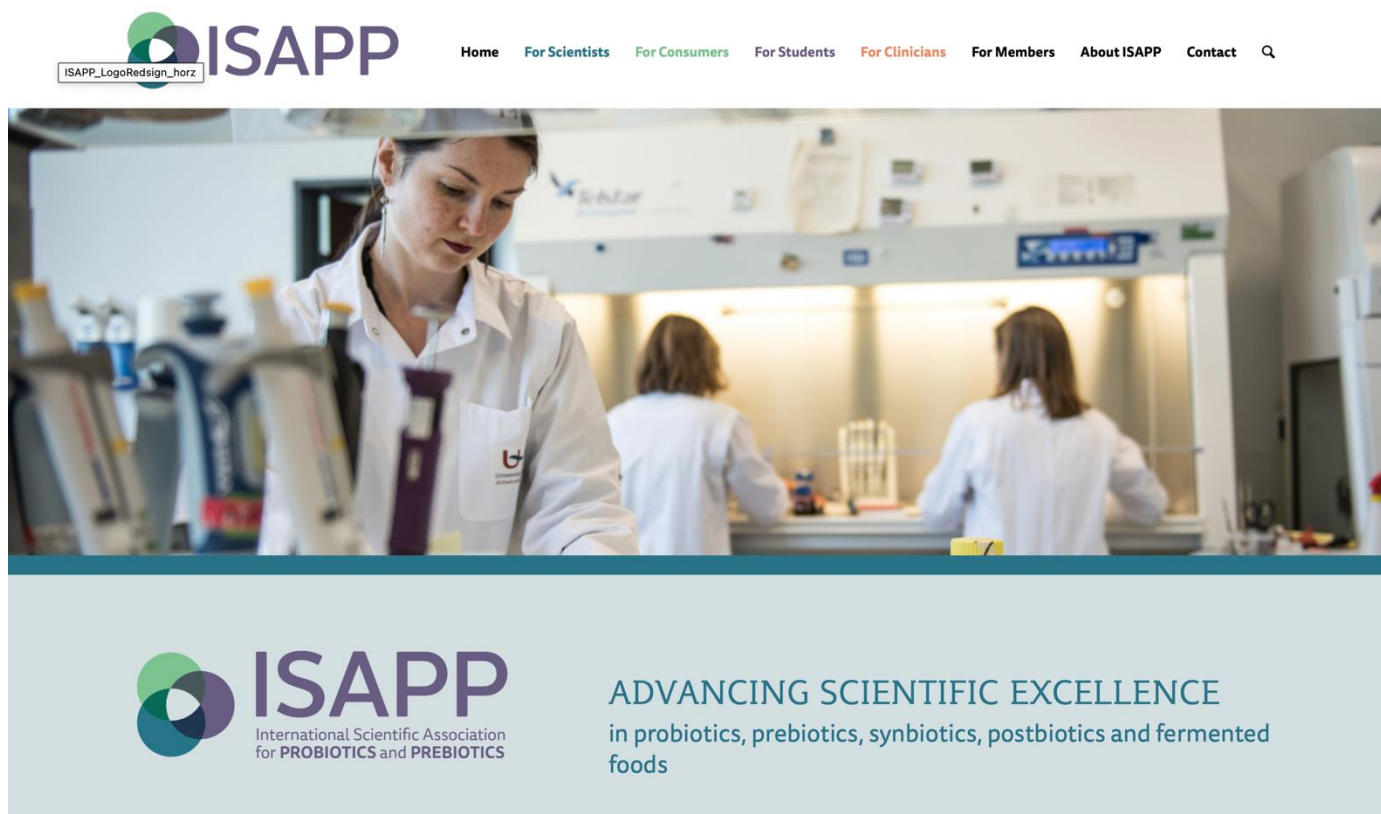


# INTERESTING SHORT MOVIES PROBIOTICS, PREBIOTICS

<https://isappscience.org/for-consumers/videos/>

General:

<https://isappscience.org/for-consumers/infographics/>



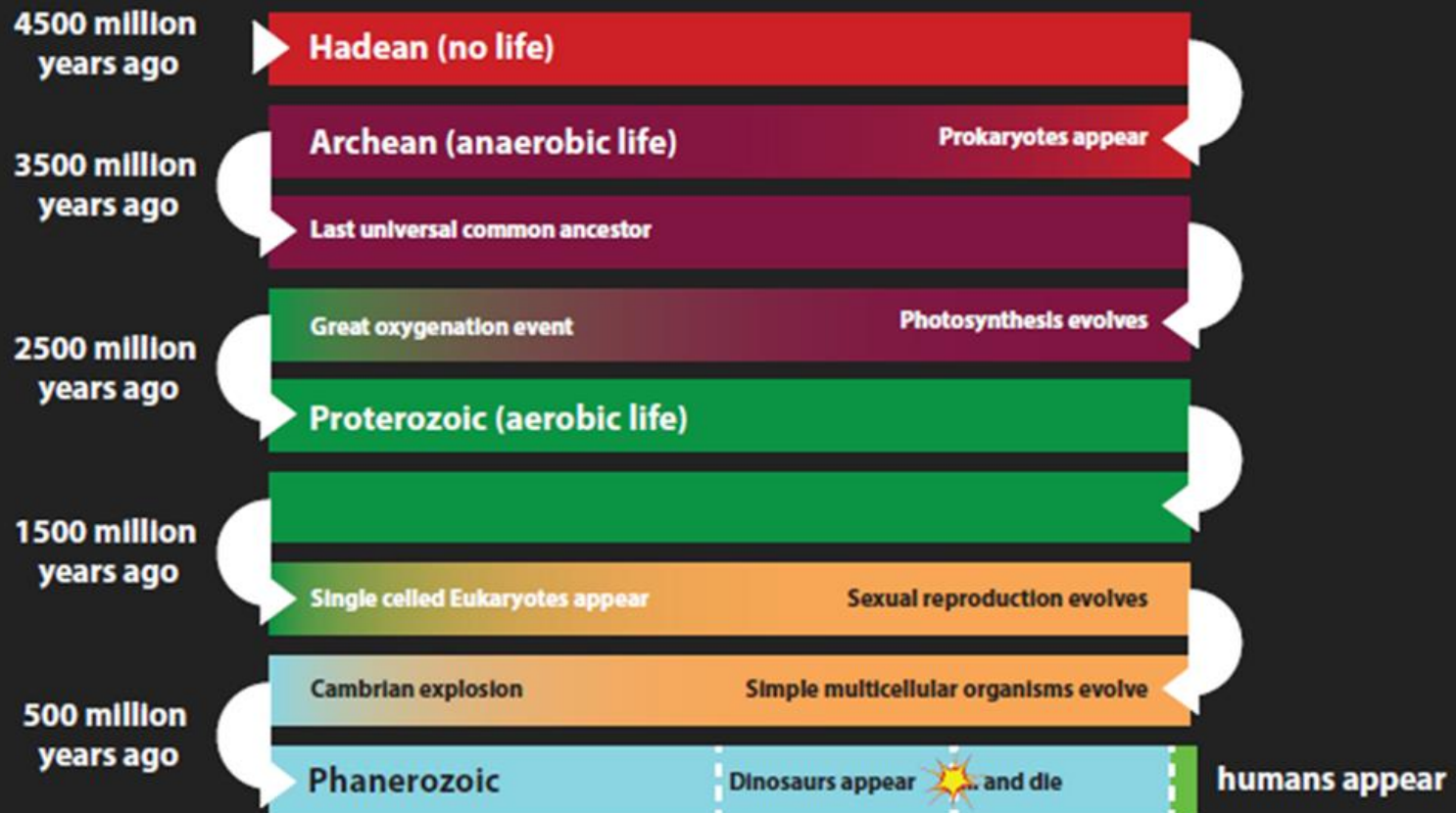


# CONTENT

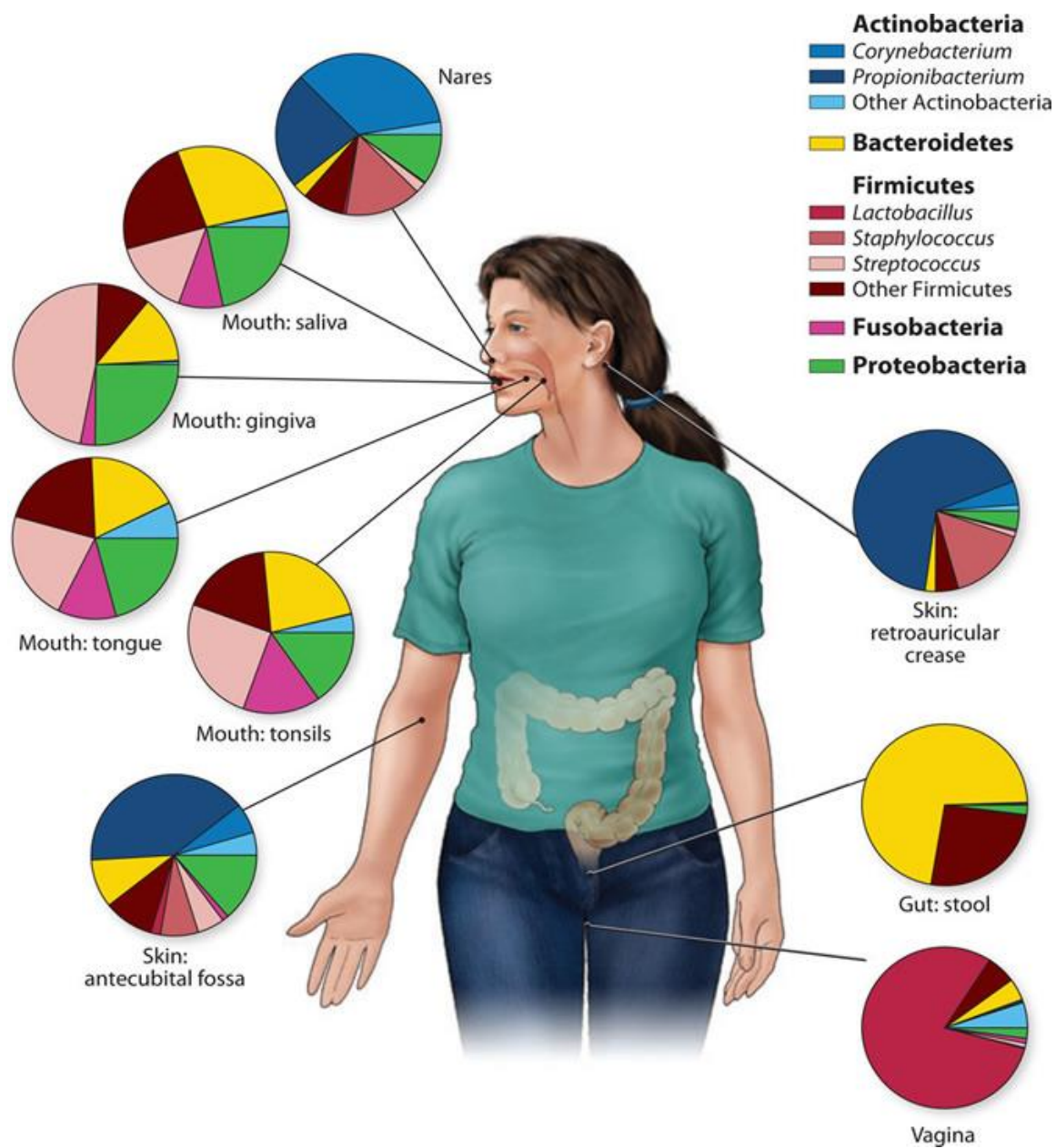
- › How it begun
- › Probiotics (and prebiotics, synbiotics, postbiotics)
- › Probiotic production technology

## History of life, to scale

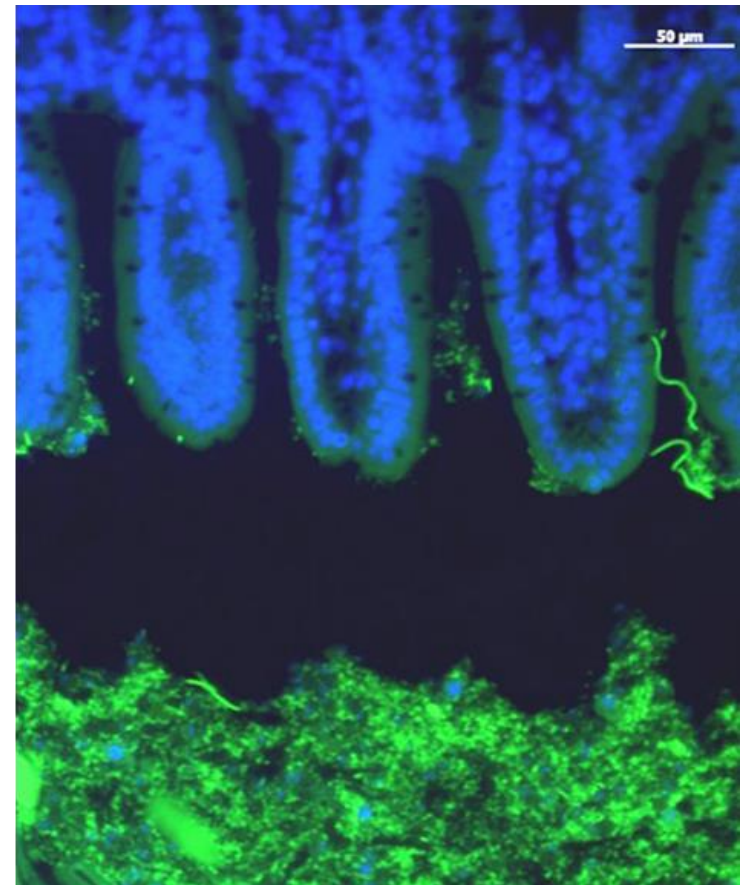
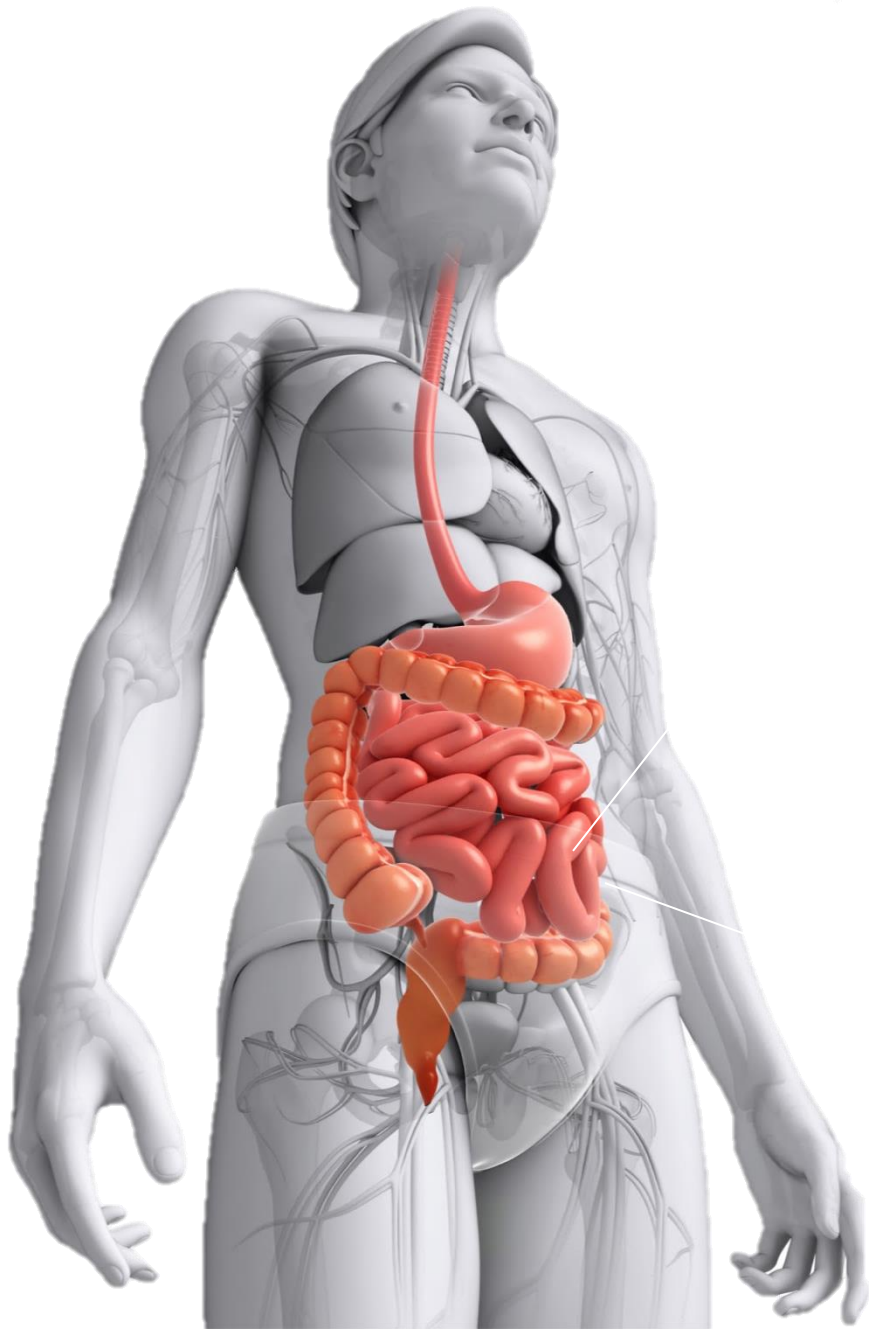
January 1, 4500000000000 BC thru May 09 2025











# Microbiome

## IN NUMBERS

**100 Trillion**

sybiotic microbes live in and on every person and make up the human microbiota

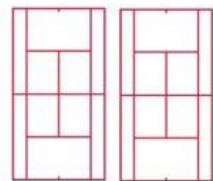
The human body has more microbes than there are stars in the milky way

**95%**

of our microbiota is located in the GI tract

**150:1**

The genes in your microbiome outnumber the genes in our genome by about 150 to one



The surface area of the **GI tract** is the same size as 2 tennis courts

You have

**1.3X**

more microbes than human cells

**>10,000**

Number of different microbial species that researchers have identified living in and on the human body

**2kg**

The gut microbiota can weigh up to 2Kg



*Interfacing Food & Medicine*

The microbiome is more medically accessible and manipulable than the human genome

**90%**

It is thought that

of disease can be linked in some way back to the gut and health of the microbiome

**5:1**

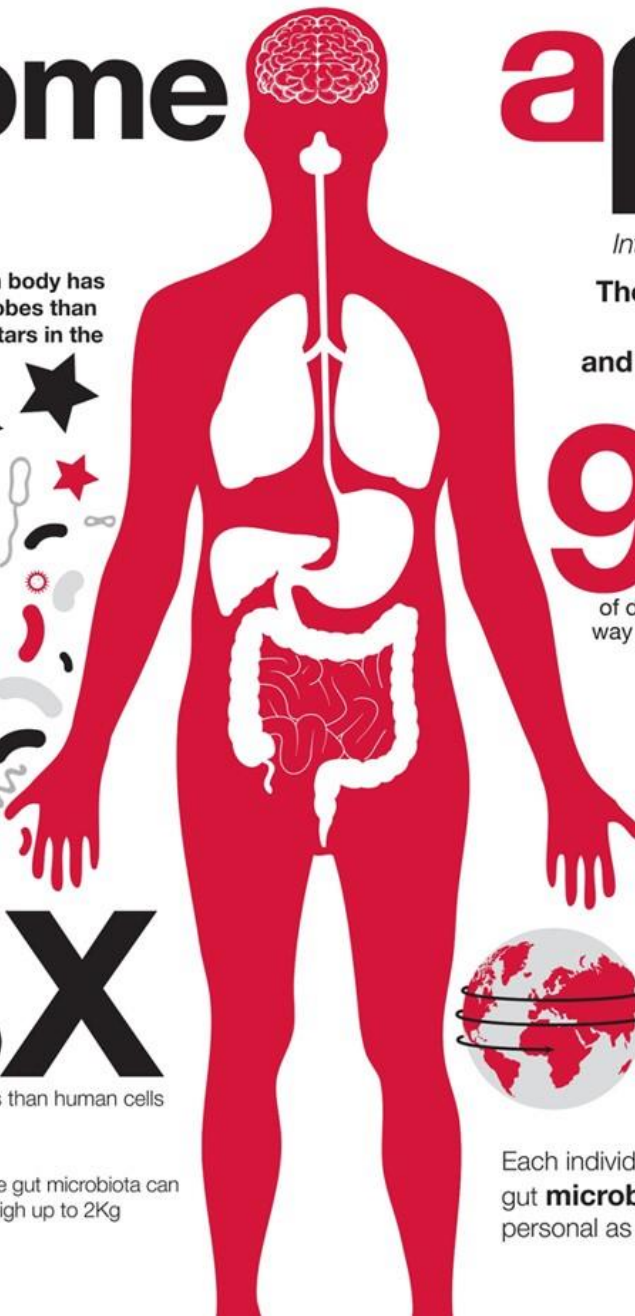
**Viruses:Bacteria** in the gut microbiota



**2.5**

The number of times your body's microbes would circle the earth if positioned end to end

Each individual has a unique gut **microbiota**, as personal as a fingerprint



# IMAGINE... NO IMMUNE SYSTEM

For Internal Use Only



<https://www.time-lapse-footage.com/video-clip-944/rotting-hamburger-decomposition-timelapse>

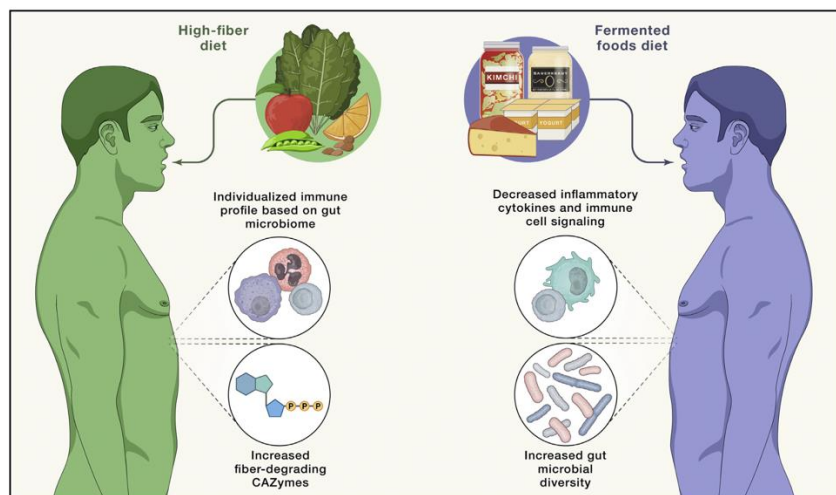
<https://www.youtube.com/watch?v=VI8z-PFGHtk>



# OPTIONS FOR VALUE CREATION WITH PROBIOTICS AND/OR FERMENTED FOOD

Probiotics  
Reason Why

*FERMENTED FOOD DIET INCREASES MICROBIOME DIVERSITY AND REDUCES INFLAMMATORY PROTEINS*



Cell

CellPress

Article

## Gut-microbiota-targeted diets modulate human immune status

Hannah C. Wastyk,<sup>2,7</sup> Gabriela K. Fragiadakis,<sup>1,7</sup> Dalia Perelman,<sup>3</sup> Dylan Dahan,<sup>1</sup> Bryan D. Merrill,<sup>1</sup> Feiqiao B. Yu,<sup>5</sup> Madeline Topf,<sup>1</sup> Carlos G. Gonzalez,<sup>4</sup> William Van Treuren,<sup>1</sup> Shuo Han,<sup>1</sup> Jennifer L. Robinson,<sup>3</sup> Joshua E. Elias,<sup>5</sup> Erica D. Sonnenburg,<sup>1,6,\*</sup> Christopher D. Gardner,<sup>3,\*</sup> and Justin L. Sonnenburg<sup>1,6,8,\*</sup>

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<sup>8</sup>Lead contact

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<https://doi.org/10.1016/j.cell.2021.06.019>

Overall microbial diversity increases by eating yogurt, kefir, fermented cottage cheese, kimchi and other fermented vegetables, plant-based brine drinks, and kombucha tea, with larger portions having a more substantial effect.



## *We get good bacteria through*

- *Birth canal*
- *Human milk*
- *Our Nutrition*
- *Our environment*



## *The composition of our microbiome is negatively affected by*

- *Too many sugars and too little fiber*
  - *Alcohol*
  - *Pesticides*
- *Too much fatty meat*
- *Antibiotics / Medication*
  - *Too much stress*

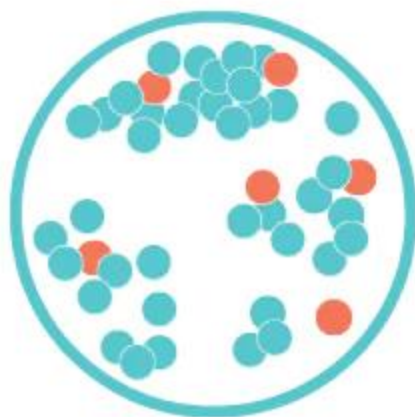


Through human milk we get many healthy lactobacillus and bifidus bacteria.

And, part of human milk is made up of fiber to feed these bacteria



# ‘BIOTICS’ BETTER EXPLAINED



## Prebiotics

Non-digestible fiber compounds that stimulate the growth and activity of beneficial gut microorganisms.



## Probiotics

Live microorganisms that inhabit the microbiome and confer health benefits when consumed in sufficient amounts.



## Synbiotics

A combination of prebiotics and probiotics.



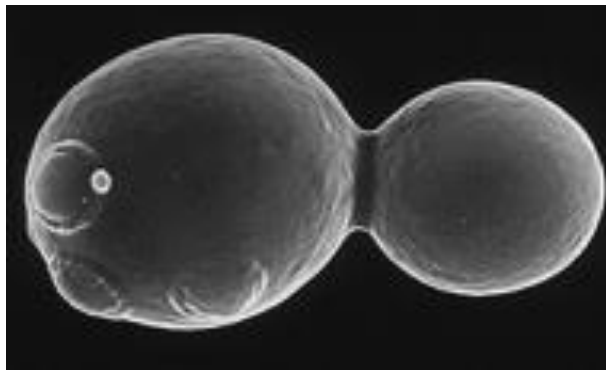
## Postbiotics

Products of prebiotic and probiotic activity that mimic some of the same benefits as probiotics, but also offer additional health benefits.

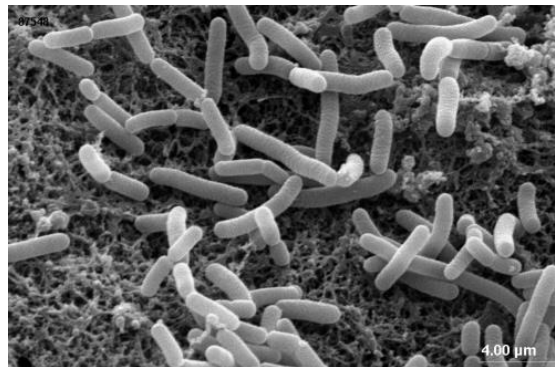
# How do we define probiotics today?

"Probiotics are live micro organisms which when administered in adequate amounts confer a health benefit on the host"

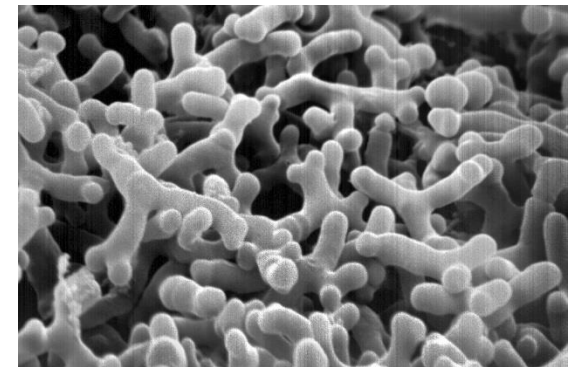
Source: WHO/FAO expert group



Yeast



Lactobacilli



Bifidobacteria

- Typically **Lactobacilli** or **Bifidobacteria**, but also **Enterococci** or **Bacilli** (plus others). Some **yeasts** are also probiotic.

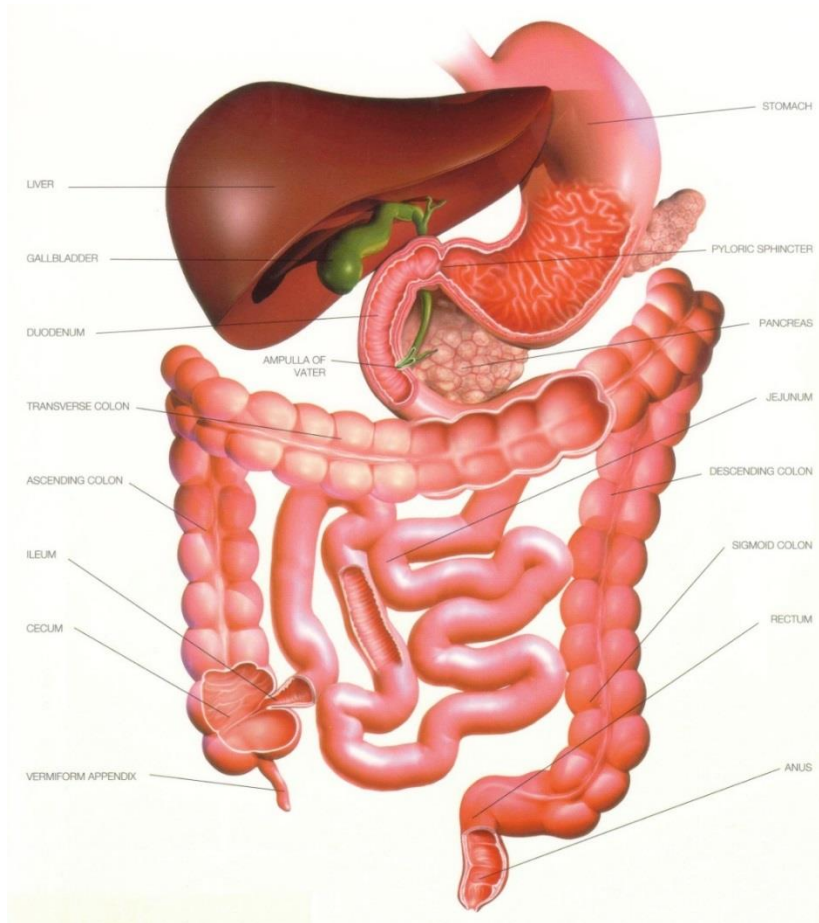
*Note: not all Lactobacilli and Bifidobacteria are probiotic*

- They colonize the GIT (gastro intestinal tract) only temporarily



# DEFINITIONS

## PROBIOTIC, PREBIOTIC, SYMBIOTIC



### › Probiotic:

- › Specific exogenous health beneficial bacteria

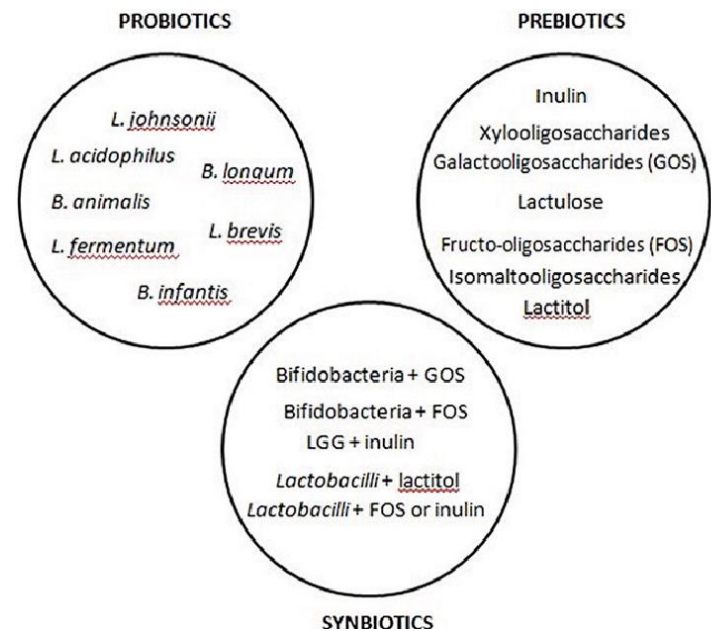
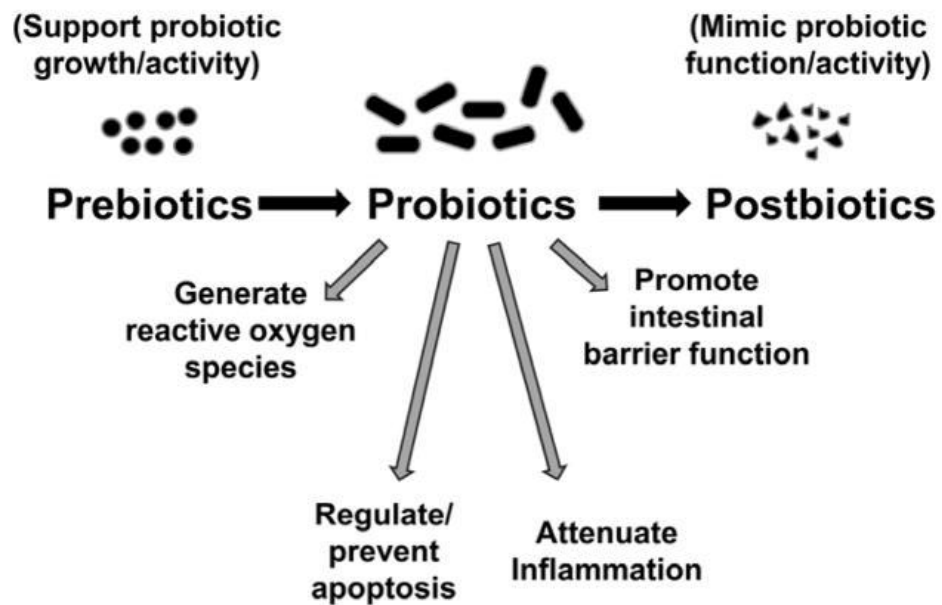
### › Prebiotic:

- › Specific ingredient (oligosaccharides) non digestible by the host but able to support the growth of beneficial endogenous bacteria

### › Symbiotic:

- › Combination of a probiotic and a prebiotic
- › Tailor made substrate for the probiotic

Good source of information: <https://isappscience.org/for-consumers/infographics/>



# Postbiotics

A postbiotic is a preparation of inanimate microorganisms and/or their components that confers a health benefit on the host.

## COMPONENTS OF A POSTBIOTIC:

Postbiotics may contain intact inanimate microbial cells...



and/or microbial cell fragments/structures...



with or without metabolites/endproducts



## POSTBIOTIC:

- ✓ Derived from microorganisms, but a postbiotic does not have to be derived from a probiotic
- ✓ A deliberate process to terminate cell viability must be applied. The final postbiotic must contain inactivated microbial cells and/or metabolites or cell components
- ✓ Negligible number of viable cells remaining in final product
- ✓ Evidence of a health benefit in the target host
- ✓ Assessment of safety of the postbiotic preparation for the intended use

## NOT POSTBIOTIC:

- ✗ Viruses, including bacteriophages
- ✗ Vaccines
- ✗ Filtrates without cell components
- ✗ Purified microbial components (e.g., proteins, peptides, exopolysaccharides)
- ✗ Purified microbial metabolites (e.g., organic acids)

## THE POSTBIOTIC DEFINITION EXPLAINED:

**Postbiotic** is derived from "biotic", relating to living organisms, and "post", meaning after (life).

**Preparation** recognizes that the specific formulation, including microbial biomass, matrices, and inactivation methods, may play a role in the beneficial effect.

**Inanimate** recognizes that the terms 'dead' or 'inactive', may suggest an inert material, rather than a material capable of conferring a health benefit.

**Components** recognizes that health effects may be mediated by a variety of different cell parts or metabolites.

<https://isappscience.org/for-consumers/infographics/>



For more information visit [ISAPPscience.org](https://isappscience.org)  
or follow us on Twitter [@ISAPPscience](https://twitter.com/ISAPPscience)

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# Examples of Postbiotics

a preparation of inanimate microorganisms and/or their components that confers a health benefit on the host



<https://www.theakkermansiacompany.com/>



<https://www.lacteol.com/>



**L-137™**  
Heat-treated Lactobacillus

<https://immuno-lp20.com/>



# L-137®

*Contains the heat treated, 80°C 20 min, Lactobacillus plantarum strain L-137, first isolated from Narezushi.  
> 10 clinical, in-vivo, in vitro studies*

## Effects on anti-periodontal diseases

1. Oral Health Prev Dent. 2016; 14(3):207-14. Daily Intake of Heat-killed Lactobacillus plantarum L-137 Decreases the Probing Depth in Patients Undergoing Supportive Periodontal Therapy.

## Anti-cold/flu effects in healthy subjects with high levels of psychological stress

2. J Nutr Sci. 2013; 2: e39. Oral intake of heat-killed Lactobacillus plantarum L-137 decreases the incidence and severity of upper respiratory tract infection in healthy subjects with high levels of psychological stress.

## Effects on immune functions and health-related QOL in healthy human subjects

3. J Nutr. 2006; 136(12): 3069-73. Daily intake of heat-killed Lactobacillus plantarum L-137 augments acquired immunity in healthy adults.

## Effects on anti-inflammation and lipid metabolism in overweight healthy adults

4. Eur J Nutr (2019). Daily intake of heat-killed Lactobacillus plantarum L-137 improves inflammation and lipid metabolism in overweight healthy adults: a randomized-controlled tri



**MCLS EUROPE**

House Wellness Foods  
**HK L-137 Evidence Center**



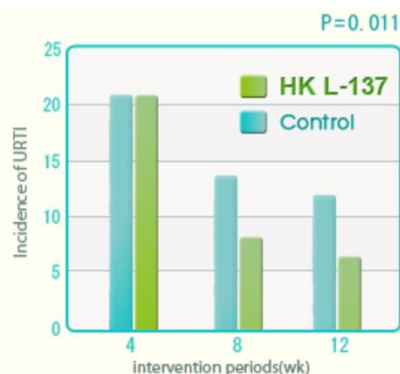
"Lactobacillus plantarum strain L-137"

*Narezushi is fermented fish pickled with rice*

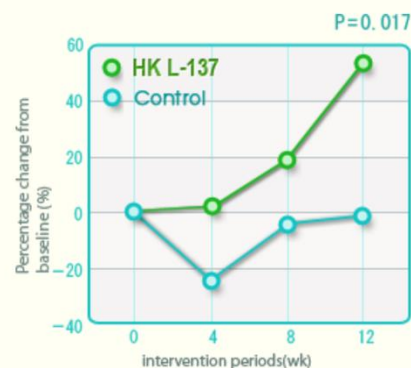


# L-137

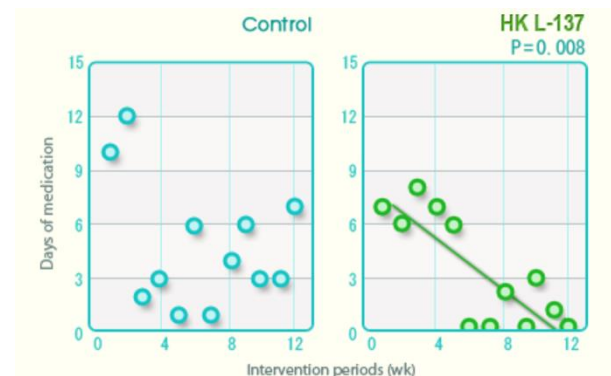
## DAILY INTAKE OF HK L-137 AUGMENTS CELLULAR IMMUNITY, THEREBY DECREASING THE INCIDENCE AND SEVERITY OF URTI IN HEALTHY SUBJECTS.



The incidence of URTI in the HK L-137 group was significantly lower than that in the control group ( $P=0.011$ ).



T cell proliferation was significantly higher in the HK L-137 group than in the control group during the study ( $P=0.017$ ).  
(Concanavalin A-induced proliferation).



The days of medication showed a significant negative correlation with duration of HK L-137 intake ( $P=0.008$ ).

A total of 78 healthy subjects (thirty-three men and forty-five women; mean age 50.6 years) were randomly assigned to receive a tablet containing HK L-137 (10 mg) or a placebo tablet daily for 12 weeks.

Hirose S., et al. (2013). Oral intake of heat-killed Lactobacillus plantarum L-137 decreases the incidence of upper respiratory tract infection in healthy subjects with high levels of psychological stress. *Journal of Nutritional Science*, 2, E39. doi:10.1017/jns.2013.35

# L-137, IS APPLIED IN A BROAD RANGE OF FOOD PRODUCTS

*FOR SOME OF THESE PRODUCTS, THE QUESTION ARISES HOW HEAT STABLE IS THE ACTIVE INGREDIENT DURING E.G. BAKING AND LONG SHELF LIFE?*



Tetra Pak  
Postbiotic Flavored Ambient Yoghurt Drink



*Note: 5 year shelf Life!*



Add lactic acid bacteria while keeping it tasty!

Product name: Lactic acid bacteria for protect and enhance L-137 Supplement

Powder stick packs

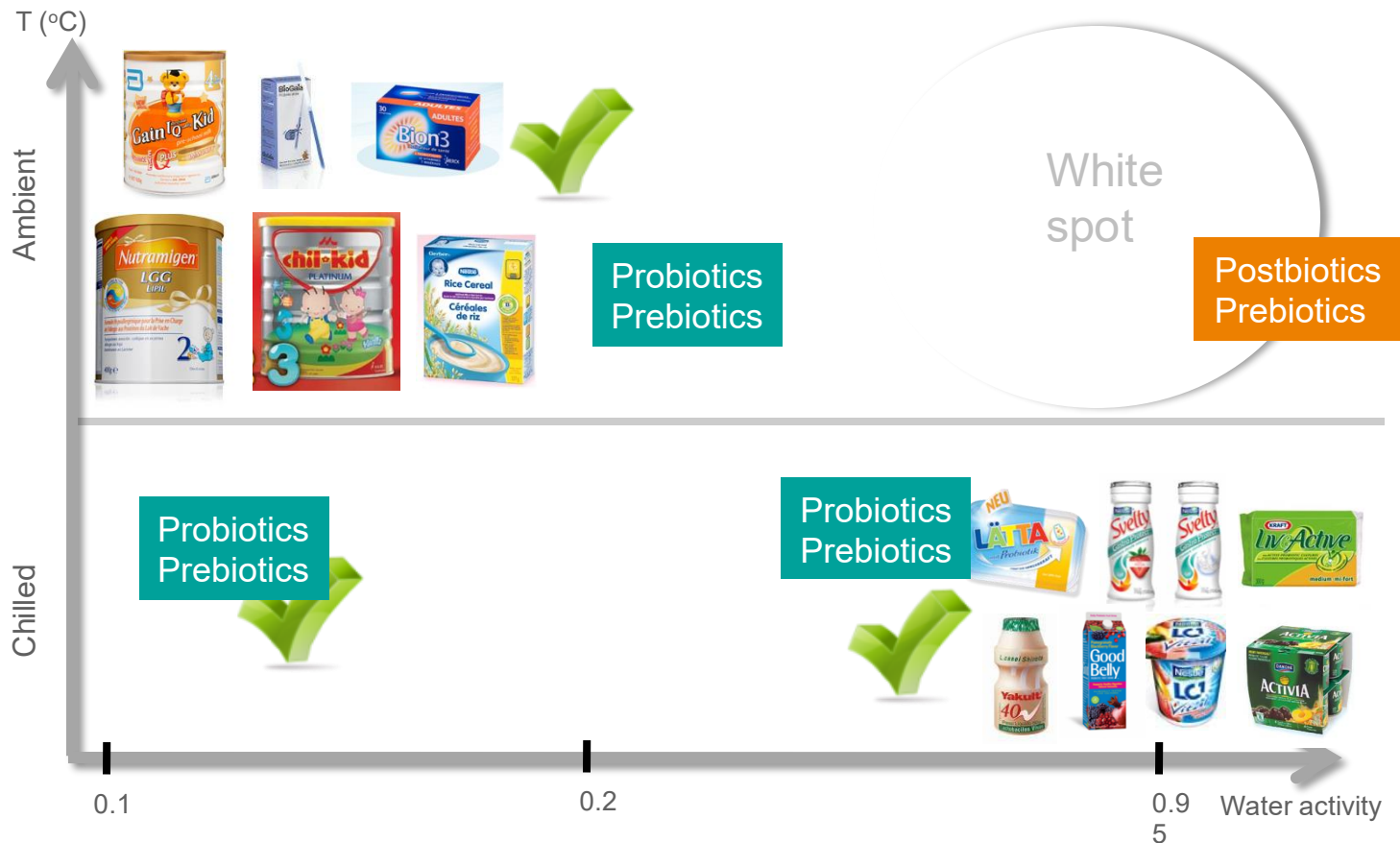
Easy health management

30 sticks

10billion of heat-killed lactobacillus plantarum L-137 in 1 pack

[Dietary supplement]  
Usage examples

# PROBIOTICS DRIED AND AMBIENT VS. LIQUID AND CHILLED





# Probiotics, Prebiotics, Synbiotics, Postbiotics and Fermented Foods

## DEFINED

Many terms in the 'biotic' space have emerged. Although probiotics, prebiotics, and synbiotics have more than a 25 year history, other biotic terms are relatively new. These terms are widely used in both scientific literature and popular media, and while they are intended to be descriptive, they often are confusing and misused.

ISAPP has enlisted the help of global experts to provide multiple perspectives in formulating consensus definitions that reflect current science for many of these terms. The goal of the consensus panels (comprising 10 or more global experts) has been to describe clearly what these terms are in order to support their proper use by stakeholders.

In addition to the criteria stipulated below, all substances must be safe for their intended use. For substances required to have a demonstrated health benefit, all product formulations must deliver an efficacious level of substance and must be fully identified.

### Probiotic

#### Consensus definition

Live microorganisms that, when administered in adequate amounts, confer a health benefit on the host (Hill et al., 2014)

#### Simple way to conceptualize

Live microbes that are good for your health

#### Live microbes present?

Yes

#### Demonstrated health benefit required?

Yes

#### Examples

*Bifidobacterium animalis* subsp. *lactis* XYZ  
Genus: *Bifidobacterium*  
Species: *animalis*  
Subspecies: *lactis*  
Strain: XYZ

#### Keep in mind

Identity must be confirmed through genome sequencing.  
Viability must be preserved through the end of shelf life.



### Prebiotic

#### Consensus definition

A substrate that is selectively utilized by host microorganisms conferring a health benefit on the host (Gibson et al., 2017)

#### Simple way to conceptualize

Food for beneficial microbes within the host

#### Live microbes present?

No

#### Demonstrated health benefit required?

Yes

#### Examples

Inulin, galactooligosaccharides, fructooligosaccharides

#### Keep in mind

New prebiotics emerging:  
Polyphenols, oligosaccharides based on xylose, maltose and other sugars



### Synbiotic

#### Consensus definition

A mixture comprising live microorganisms and substrate(s) selectively utilized by host microorganisms that confers a health benefit on the host (Swanson et al., 2020)

#### Simple way to conceptualize

Complementary synbiotic is a mixture of probiotic + prebiotic

Synergistic synbiotic contains a live microbe and a substrate that it can use for growth

#### Live microbes present?

Yes

#### Demonstrated health benefit required?

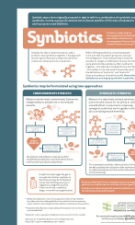
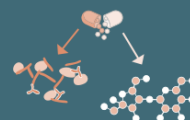
Yes

#### Example

Complementary synbiotic: inulin + *Bifidobacterium animalis* subsp. *lactis* XYZ

#### Keep in mind

A health benefit must be shown for a synbiotic as combined, not just the probiotic alone and the prebiotic alone.



### Postbiotic

#### Consensus definition

Preparation of inanimate microorganisms and/or their components that confers a health benefit on the host (Salminen et al., 2021)

#### Simple way to conceptualize

Non-viable microbes and/or cell components with or without metabolites

#### Live microbes present?

No

#### Demonstrated health benefit required?

Yes

#### Examples

Some infant formulas, some bacterial lysates to prevent recurrent respiratory tract infections, yeast fermentates used in animal feeds

#### Keep in mind

Purified metabolites do not qualify as postbiotics



### Fermented Foods

#### Consensus definition

Foods made through desired microbial growth and enzymatic conversions of food components (Marco et al., 2020)

#### Simple way to conceptualize

Popular foods that are made through the growth of live microbes

#### Live microbes present?

Sometimes yes, sometimes no.  
Live microbes not required in final product.

#### Demonstrated health benefit required?

Not required

#### Examples

Yogurt, kefir, sauerkraut, sourdough bread

#### Keep in mind

Microbes present may be defined or undefined; live or dead



For more information visit [ISAPScience.org](https://ISAPScience.org)  
or follow us on Twitter @ISAPScience

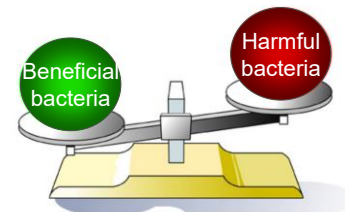
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# Introduction to probiotics

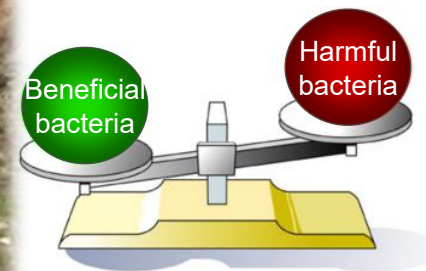
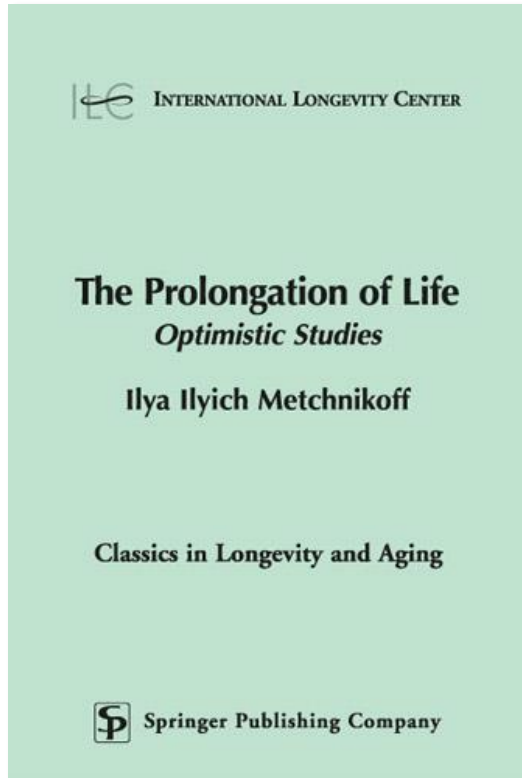
## Why are they healthy and different from other bacteria?

1. Remain active during transfer in the digestive tract; they endure gastric acid, bile acid, and other stressful conditions
2. They are adhesive and can adhere to the surface of the intestine where it inhibits the actions of harmful bacteria
3. Become a natural part of intestinal microbiota, balancing it against disturbances
4. Lactobacilli have been shown to bind aflatoxin B, a food borne mycotoxin produced by *Aspergillus niger*
5. ....



# The beginning of the probiotic concept

ILYA METCHNIKOFF (1845-1916): “THE PROLONGATION OF LIFE – OPTIMISTIC STUDIES”



# Introduction to probiotics




Minoru Shirota (1899 –1982) was a Japanese scientist. He was the inventor of Yakult, the yogurt-like probiotic drink containing *Lactobacillus casei* strain shirota.





# 1985 PATENT DESCRIBING LGG® ISOLATION PROCEDURE - GOLDWIN AND GORBACH


 Europäisches Patentamt  
 European Patent Office  
 Office européen des brevets

Publication number: **0 199 535 A2**

EUROPEAN PATENT APPLICATION

Application number: 8632836.1  
 Date of filing: 16.04.86

Int. Cl.: C 12 N 1/20  
 C 12 R 1/23, A 61 K 35/74

Priority: 17.04.85 US 724114

Date of publication of application: 29.10.86 Bulletin 86/44

Designated Contracting States: AT BE CH DE FR GB IT LI LU NL SE

Applicant: THE NEW ENGLAND MEDICAL CENTER HOSPITALS, INC.  
 171 Harrison Avenue Box 817  
 Boston Massachusetts 02111(US)

Inventor: Gorbach, Sherwood L.  
 419 Beacon Street  
 Chestnut Hill Massachusetts 02161(US)

Inventor: Goldin, Barry R.  
 38 Adella Avenue  
 West Newton Massachusetts 02165(US)

Representative: Moon, Donald Keith et al.  
 BREWER & SONS 9 Quality Court Chancery Lane  
 London WC2A 1HT(GB)

Lactobacillus acidophilus strains of bacteria and compositions thereof.

A biologically pure culture of a strain of bacteria of the species *Lactobacillus acidophilus*, the bacteria being characterized in that an average of at least 50 of the bacteria can adhere to one human small intestinal mucosal cell after a five-minute incubation of the bacteria with the cell. The desired strain can be isolated from a sample containing a multiplicity of bacterial strains by defined selection and screening procedures. The desired *L. acidophilus* strain has therapeutic and prophylactic uses especially in relation to gastro-intestinal disorders, and pharmaceutical compositions are also provided.

EP 0 199 535 A2

Selection a human stool sample with high levels of lactobacilli (average is  $10^9$  gram<sup>-1</sup>)



Screen:

- ▶ Tolerant for acidic conditions (growth between pH 3.0 and pH 3.5)
- ▶ Tolerant for bile acids (growth in the presence of 1.5 g/l oxgall bile)
- ▶ Ability to attach to small intestinal mucosal cells (> 100 bacteria per cell)
- ▶ Lactic acid production (4 mmol per 24 h of  $10^{10}$  cells)
- ▶ Hardy *in vitro* growth (doubling time of 45 min at 37 °C)

Administration:  $10^9$  –  $10^{10}$  bacteria to 200 ml of UHT milk for treatment of

- antibiotic-associated diarrhoea
- IBD
- constipation

Claim for colonization of the intestine by this “natural organism”

# PROBIOTICS: HOW COULD THEY WORK?

## 1. Inhibition of pathogens

- reduction of luminal pH
- competition for nutrients
- secretion of anti-microbial compounds (bacteriocins)
- induction of the production of antimicrobial compounds by the host (defensins)

## 2. Improvement of the epithelial barrier function

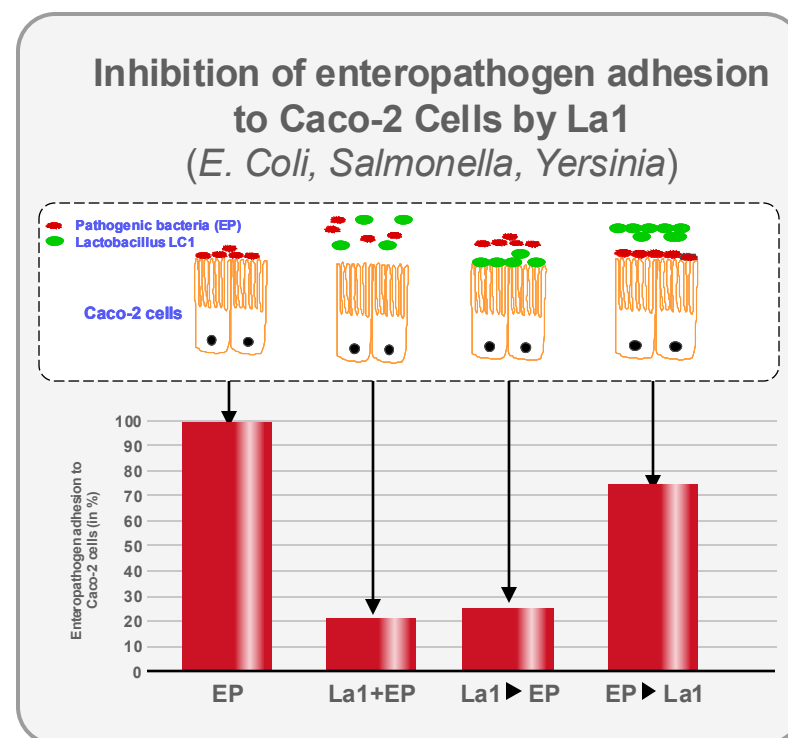
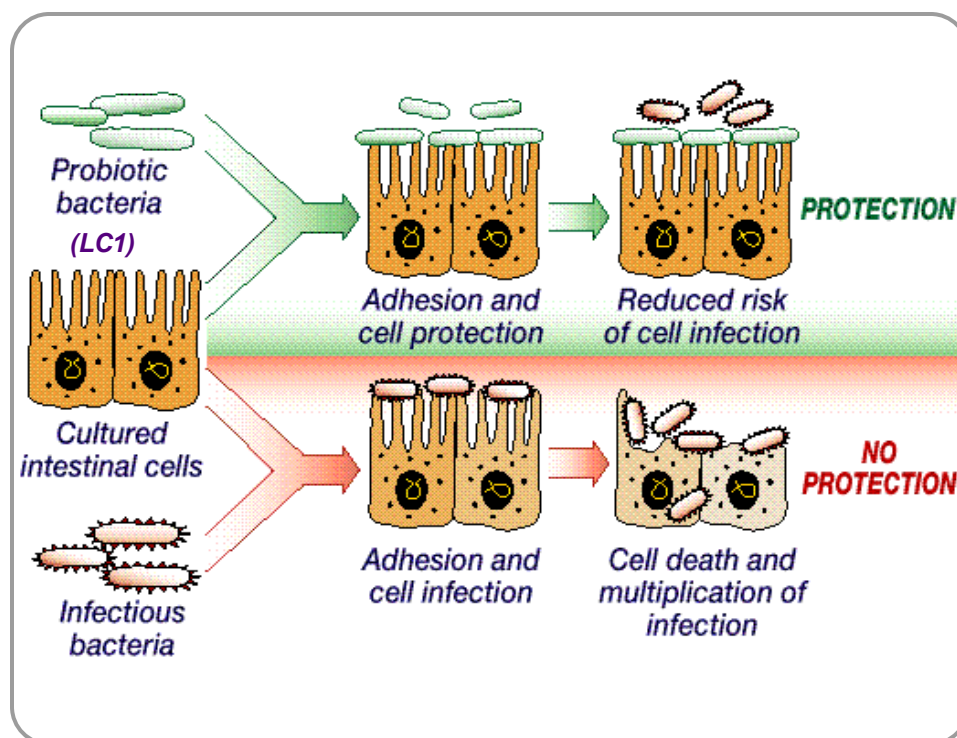
prevention of bacterial adhesion and evasion of epithelial cells

## 3. Modulation of host immune responses

⇒ Required is adhesion to and/or colonization of the epithelial cell surface

# ANTI-PATHOGEN ACTIVITY OF PROBIOTICS

## COMPETITIVE DISPLACEMENT OF PATHOGENS IN THE GUT



Ref. Nestlé data

# PROBIOTICS IN ACTION – MOVIE

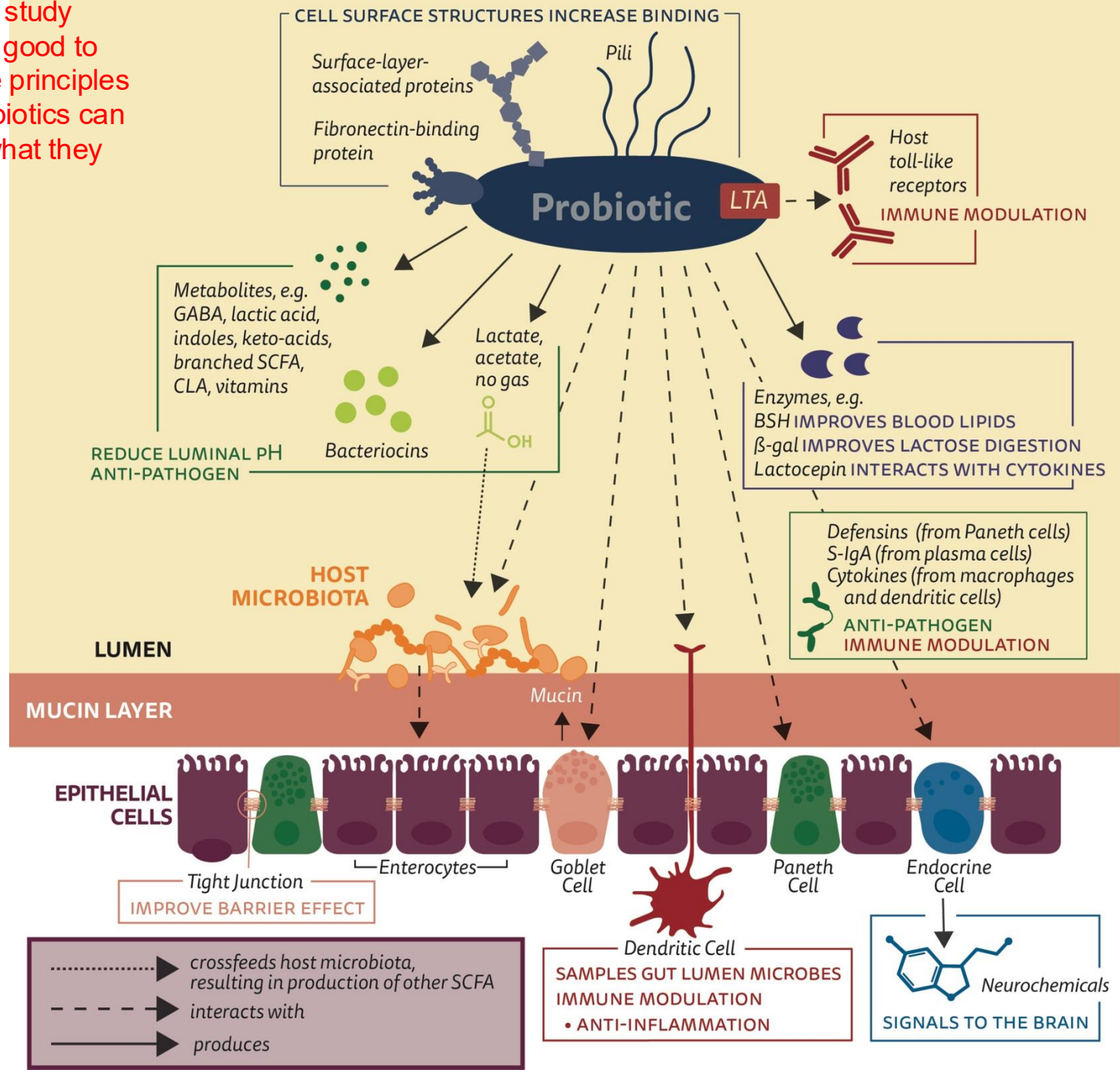
## *L. JOHNSONII* AND *E. COLI* O157



Movie is not available on line, but was shown in class



No need to study details, but good to know some principles of how probiotics can work and what they can do



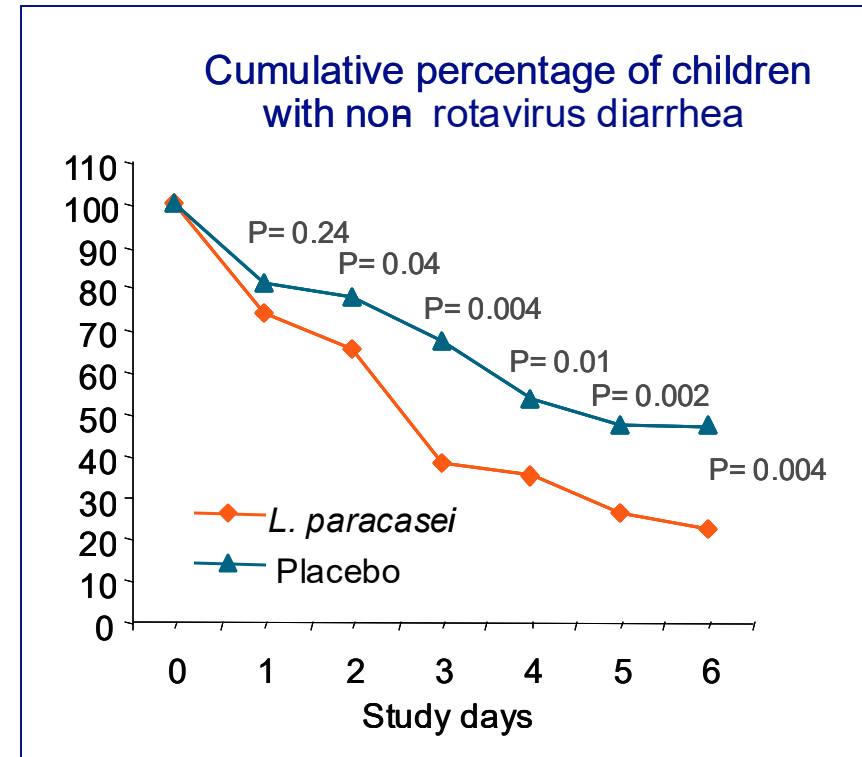
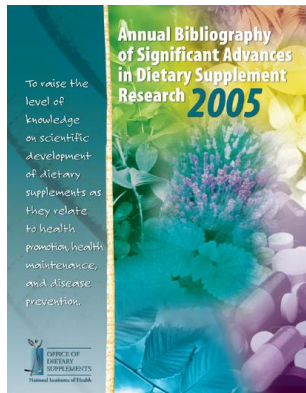
# Product example:

GUM powder with *L. paracasei* NCC2461 (ST11)

## Study

- 230 infants, 4-24 months
- Diarrhea < 2 days
- $10^{10}$  *L. paracasei* NCC2461 or placebo for 5 days

*Study selected as one of the best interventional studies by NIH*



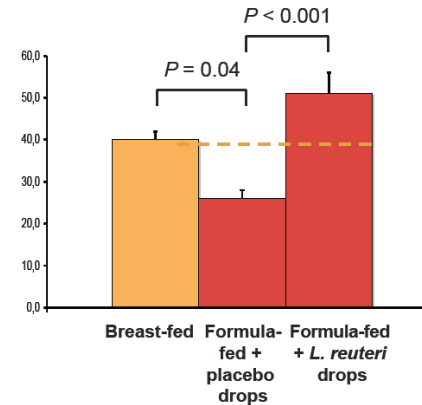
*Sarker et al. 2005*



# Product example: Infant formulae with *L. reuteri*



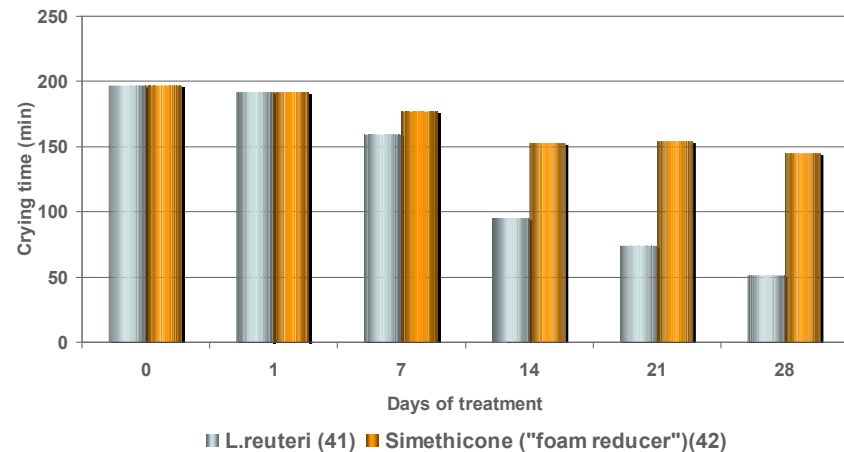
*Gastric emptying rate*



Indrio et al. J Pediatr 2008

- *L. reuteri* improves gastric motility and GI transit in preterm and term infants
- *L. reuteri* reduces daily crying time in colicky infants
- *L. reuteri* reduces pain intensity in children suffering from recurrent abdominal pain

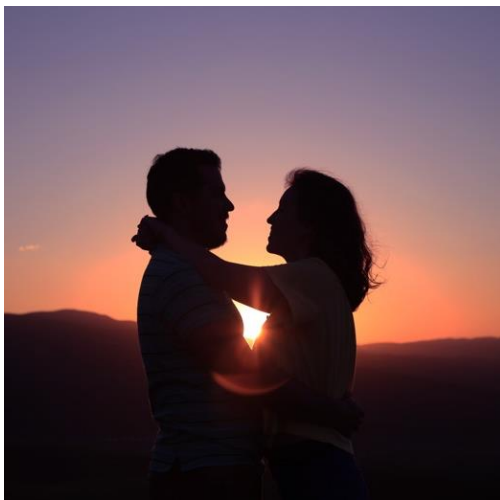
*Crying time*



Savino F. et al., Pediatrics 2007

# "The Gut Brain Axis"

## Connection between brain and gut



1. In love



2. Feeling full after eating



3. Stress at work



- In a mice studies, the composition of the microbiome impacts responses to stress
- Administering certain probiotics (*Lactobacillus reuteri*) can eliminate the effects of stress.

# SCIENTIFIC REPORTS

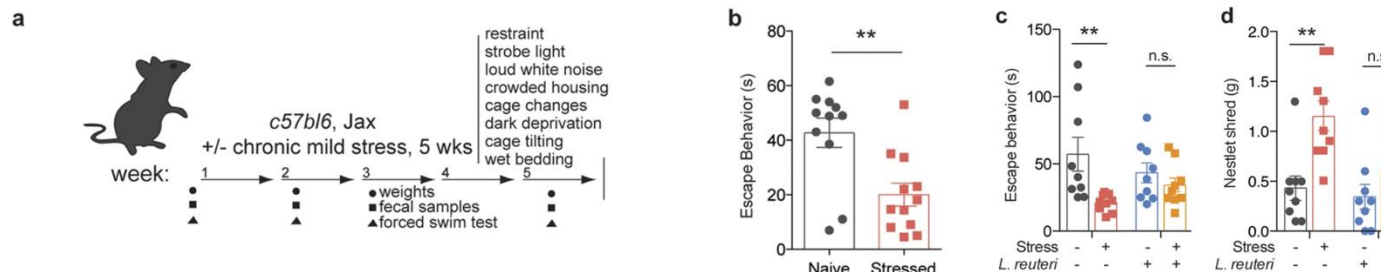
## OPEN Microbiota alteration is associated with the development of stress-induced despair behavior

Received: 11 October 2016

Accepted: 31 January 2017

Published: 07 March 2017

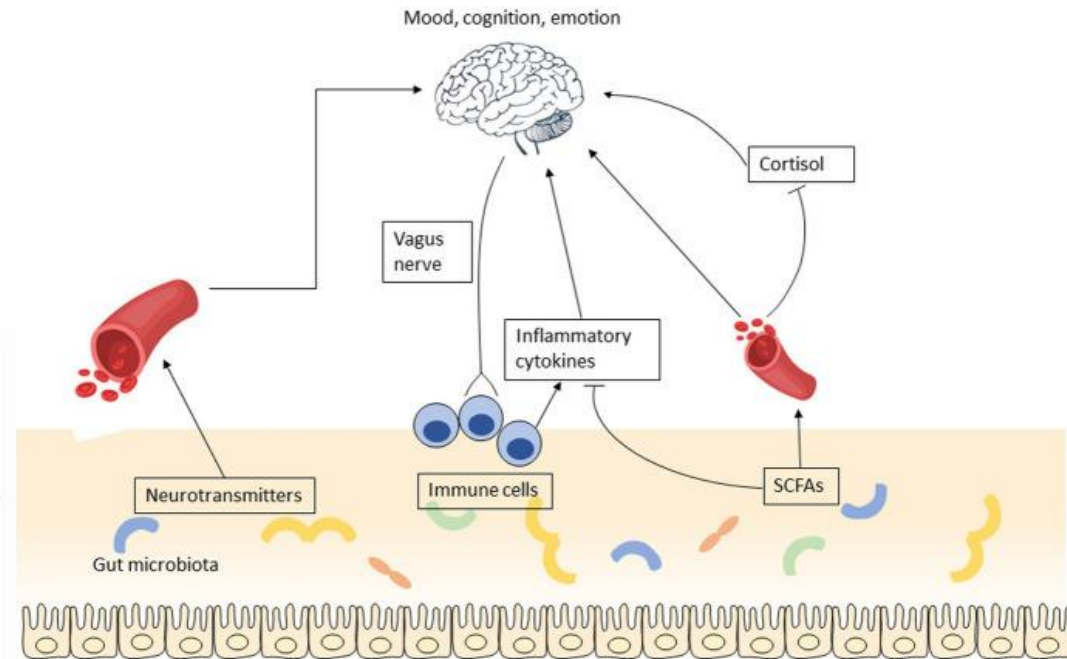
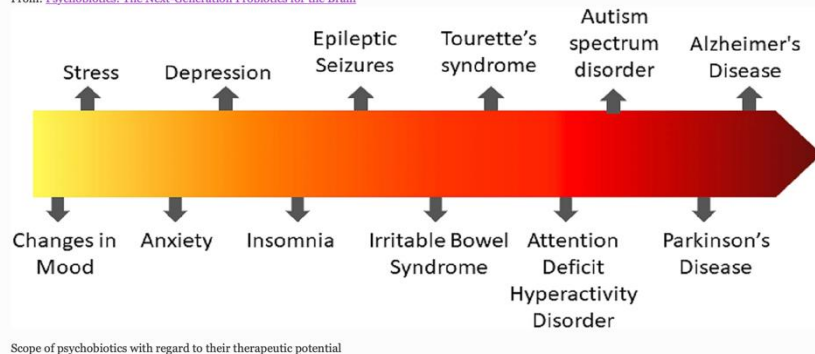
Ioana A. Marin<sup>1,2,3</sup>, Jennifer E. Goertz<sup>1,2</sup>, Tiantian Ren<sup>4</sup>, Stephen S. Rich<sup>5</sup>,  
Suna Onengut-Gumuscu<sup>5</sup>, Emily Farber<sup>5</sup>, Martin Wu<sup>4</sup>, Christopher C. Overall<sup>1,2</sup>,  
Jonathan Kipnis<sup>1,2,3,\*</sup> & Alban Gaultier<sup>1,2,3,\*</sup>



# Mechanisms about how our gut bacteria are connected to our mental health

- Synthesis of neurotransmitters,
- Production of short-chain fatty acids (acetic acid, butyric acid, propionic acid)
- Regulating and limiting inflammation.

From: *Psychobiotics: The Next-Generation Probiotics for the Brain*



Yang Y, Zhou B, Zhang S, Si L, Liu X, Li F. Prebiotics for depression: how does the gut microbiota play a role? *Front Nutr.* 2023 Jul 6;10:1206468. doi: 10.3389/fnut.2023.1206468. PMID: 37485386; PMCID: PMC10358272.

# Certain probiotics produce – or remove- substances that act on the Vagus Nerve

## *The butyrate producing bacteria Coprococcus*

Accepted manuscript

### The butyrate-producing and sporeforming bacterial genus *Coprococcus* as a potential biomarker for neurological disorders

Published online by Cambridge University Press: 30 August 2023

Fleur Notting, Walter Pirovano , Wilbert Sybesma and Remco Kort 



Contents lists available at ScienceDirect

Neurobiology of Stress

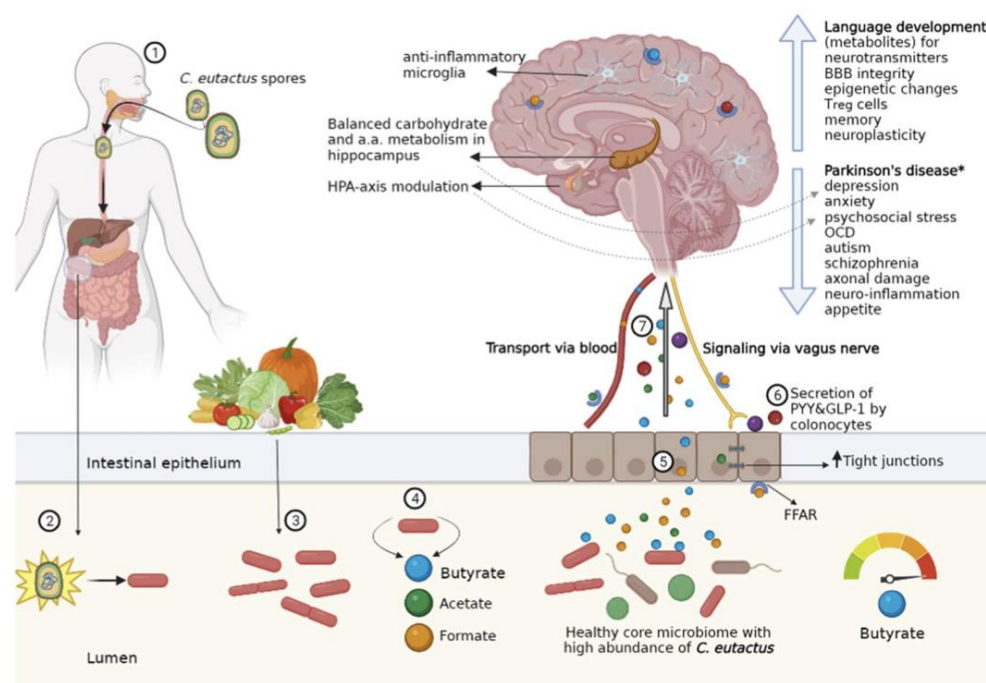
journal homepage: [www.elsevier.com/locate/ynstr](http://www.elsevier.com/locate/ynstr)



Probiotic consumption relieved human stress and anxiety symptoms possibly via modulating the neuroactive potential of the gut microbiota

Teng Ma <sup>a,1</sup>, Hao Jin <sup>a,1</sup>, Lai-Yu Kwok <sup>a</sup>, Zhihong Sun <sup>a</sup>, Min-Tze Liong <sup>b</sup>, Heping Zhang <sup>a,\*</sup>

<sup>a</sup> Key Laboratory of Dairy Biotechnology and Engineering, Ministry of Education, Inner Mongolia Agricultural University, Hohhot, Inner Mongolia, 010018, China  
<sup>b</sup> School of Industrial Technology, Universiti Sains Malaysia, 11800, Penang, Malaysia



# GABA is produced by gut microbes and plays important role in managing anxiety, stress and fear.

GABA reduces a nerve cell's ability to receive, generate, or send chemical messages to other nerve cells. GABA is known to have a calming effect.

## *Bifidobacterium adolescentis* as a key member of the human gut microbiota in the production of GABA

Sabrina Duranti<sup>1,7</sup>, Lorena Ruiz<sup>2,3,7</sup>, Gabriele Andrea Lugli<sup>1,7</sup>, Héctor Tames<sup>2,3</sup>, Christian Milani<sup>1,4</sup>, Leonardo Mancabelli<sup>5</sup>, Walter Mancino<sup>5</sup>, Giulia Longhi<sup>5</sup>, Luca Carnevali<sup>6</sup>, Andrea Sgoifo<sup>4,6</sup>, Abelardo Margolles<sup>2,3</sup>, Marco Ventura<sup>1,4</sup>, Patricia Ruas-Madiedo<sup>2,3,5,6</sup> &

SCIENTIFIC  
REPORTS

nature research

Check for updates

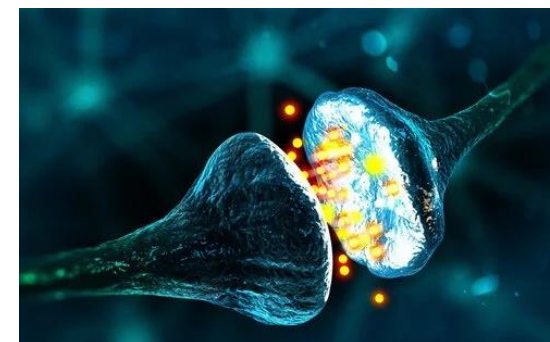


Article

## *Bifidobacterium longum* subsp. *longum* Reduces Perceived Psychological Stress in Healthy Adults: An Exploratory Clinical Trial

Marcus Boehme<sup>1,\*</sup>, Noëla Rémond-Derbez<sup>1</sup>, Clara Lerond<sup>1</sup>, Luca Lavallo<sup>2</sup>, Sonia Keddani<sup>1</sup>, Myriam Steinmann<sup>1</sup>, Andreas Rytz<sup>2</sup>, Boushra Dalile<sup>3,4</sup>, Kristin Verbeke<sup>3</sup>, Lukas Van Oudenhove<sup>3,4</sup>, Pascal Steiner<sup>1</sup>, Bernard Berger<sup>1</sup>, Maria Vicario<sup>1</sup>, Gabriela Bergonzelli<sup>1</sup>, Sara Colombo Mottaz<sup>2</sup> and Julie Hudry<sup>1</sup>

Duranti S, Ruiz L, Lugli GA, Tames H, Milani C, Mancabelli L, Mancino W, Longhi G, Carnevali L, Sgoifo A, Margolles A, Ventura M, Ruas-Madiedo P, Turroni F. *Bifidobacterium adolescentis* as a key member of the human gut microbiota in the production of GABA. Sci Rep. 2020 Aug 24;10(1):14112. doi: 10.1038/s41598-020-70986-z. PMID: 32839473; PMCID: PMC7445748.



## Yili and Fonterra Taking Probiotics Beyond Digestive Wellness?



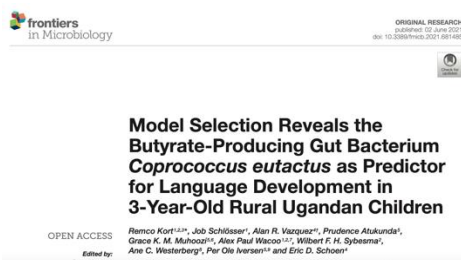


# Two more examples linked to the Gut-Brain Axis bacterium *Coprococcus*

## 1. Language development 2. Depression



In a study that looked at the composition of the gut microbiome in young Ugandan children, an association was seen between the presence of the intestinal bacterium *Coprococcus eutactus* and the development of language skills.



### nature microbiology

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nature > nature microbiology > articles > article

Article | Published: 04 February 2019

### The neuroactive potential of the human gut microbiota in quality of life and depression

Mireia Valles-Colomer, Gwen Falony, Youssef Darzi, Ettie F. Tischeler, Jun Wang, Raul Y. Tito, Carmen Schiweck, Alexander Kurilshikov, Marie Joossens, Cisca Wijmenga, Stephan Claes, Lukas Van Oudenhove, Alexandra Zhernakova, Sara Vieira-Silva & Jeroen Raes



*Coprococcus* bacteria were consistently associated with higher quality of life indicators. Together with *Dialister*, *Coprococcus* spp. were also depleted in depression, even after correcting for the confounding effects of antidepressants.

## Several mood enhancing probiotics are entering the market

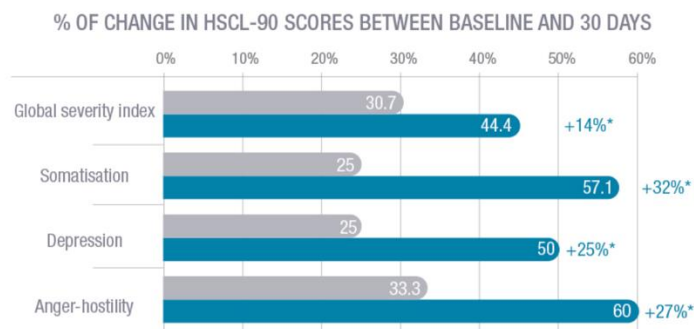
### Examples



CEREBIOME® significantly improves psychological signs related to occasional stress

■ Placebo  
■ CEREBIOME®

\* $p < 0.05$



# PS128

a psychobiotic for nervous system balance

new clinical study:  
ADHD symptoms improved

CEREBIOME® helps alleviate the physical and psychological symptoms of stress and feelings of anxiety.

Since the 2000s, CEREBIOME® (*Lactobacillus helveticus* Rosell®-52 + *Bifidobacterium longum* Rosell®-175) has been documented on the reduction of psychological stress in humans by five clinical studies and nine animal studies.

- Regulates dopamine (motor control, motivation) and serotonin (mood, sleep)
- Reduces cortisol (stress) levels throughout the body
- Boosts amounts of key SCFAs (short-chain fatty acids) and
- Antioxidant and anti-inflammatory (reduces TNF- $\alpha$  and IL-6, increases IL-10)
- Improves athletic endurance and post-workout physical recovery
- Promotes healthy digestion



Probiotic consumption relieved human stress and anxiety symptoms possibly via modulating the neuroactive potential of the gut microbiota

Teng Ma<sup>a,1</sup>, Hao Jin<sup>a,1</sup>, Lai-Yu Kwok<sup>a</sup>, Zhihong Sun<sup>a</sup>, Min-Tze Liong<sup>b</sup>, Heping Zhang<sup>a,\*</sup>

<sup>a</sup> Key Laboratory of Dairy Biotechnology and Engineering, Ministry of Education, Inner Mongolia Agricultural University, Huhhot, Inner Mongolia, 010016, China  
<sup>b</sup> School of Industrial Technology, Universiti Sains Malaysia, 11800, Penang, Malaysia

Open Access Article

### Effects of *Lactobacillus plantarum* PS128 on Depressive Symptoms and Sleep Quality in Self-Reported Insomniacs: A Randomized, Double-Blind, Placebo-Controlled Pilot Trial

by Yu-Ting Ho<sup>1,2,†</sup>, Ying-Chieh Tsai<sup>3,†</sup>, Terry B. J. Kuo<sup>1,2,4,5,6</sup> and Cheryl C. H. Yang<sup>1,2,4,5,\*</sup>

# Stress can also be reduced by eating fermented foods and fiber

Hereby also the composition of the gut microbiome changes



Molecular Psychiatry

www.nature.com/mp

scientific reports

ARTICLE OPEN

Check for updates

**Feed your microbes to deal with stress: a psychobiotic diet impacts microbial stability and perceived stress in a healthy adult population**

Kirsten Berding<sup>1,2</sup>, Thomaz F. S. Bastiaanssen<sup>1</sup>, Gerard M. Moloney<sup>1,2</sup>, Serena Boscaini<sup>1</sup>, Conall R. Strain<sup>1,3</sup>, Andrea Anesi<sup>4</sup>, Caltriona Long-Smith<sup>1</sup>, Fulvio Mattivi<sup>4,5</sup>, Catherine Stanton<sup>1,3,6</sup>, Gerard Clarke<sup>1,2</sup>, Timothy G. Dinan<sup>1,6</sup> and John F. Cryan<sup>1,2,3,6</sup>

OPEN **Anxiolytic effects of a galacto-oligosaccharides prebiotic in healthy females (18–25 years) with corresponding changes in gut bacterial composition**

Nicola Johnstone<sup>1,2</sup>, Chiara Milesi<sup>1</sup>, Olivia Burn<sup>1</sup>, Bartholomeus van den Bogert<sup>1,3</sup>, Arjen Nauta<sup>1</sup>, Kathryn Hart<sup>1</sup>, Paul Sowden<sup>1,2</sup>, Philip W. J. Burnet<sup>1</sup> & Kathrin Cohen Kadosh<sup>1,2</sup>



The Journal of Nutrition

Available online 22 February 2023

In Press, Corrected Proof

What's this? >

Nutritional Epidemiology

**Positive Health Outcomes Associated with Live Microbe Intake from Foods, Including Fermented Foods, Assessed using the NHANES Database**

Colin Hill<sup>1,2</sup>, Daniel J. Tancredi<sup>2,3</sup>, Christopher J. Cifelli<sup>4</sup>, Joanne L. Slavin<sup>4</sup>, Jaime Gahche<sup>5</sup>, Maria L. Marco<sup>6</sup>, Robert Hutkins<sup>7</sup>, Victor L. Fulgoni III<sup>8</sup>, Daniel Merenstein<sup>9</sup>, Mary Ellen Sanders<sup>10</sup>

# Probiotic health benefits



Courtesy Nestlé Research.

Note: These are broad probiotic health benefit areas, not health claims associated with probiotic products.

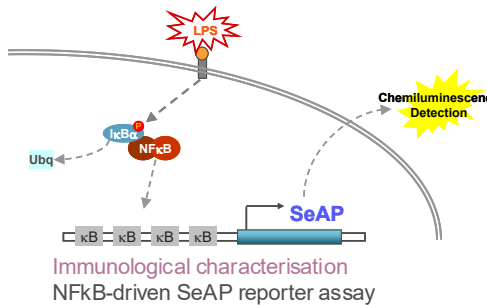


# Development of New Probiotics

## Pre-clinical studies SAFETY - EFFICACY

### *In vitro*

### SAFETY – STABILITY - EFFICACY



## Human / Clinical Studies SAFETY - EFFICACY



Nestlé Research Center is certified ISO9001:2008 for "Research on nutrition and food, including preparation of test products and the organization and conducting of clinical trials".



Nestlé Research Center is certified ISO22000:2005 for "Preparation of test products by the Process Lab and the Tasting Corner for sensory evaluation and clinical trials as a result of research on nutrition and food. Maintenance and distribution of industrial strains".

## Process & Product Optimization, Formulation, Scale Up



## Documentation & Regulatory Approval

Discovery

Product  
Development

Communication  
Follow-up  
Support

## Product Launch

## Culture Collection

### Basic Characterization, IP Status



Nestlé Research Center is certified ISO22000:2005 for "Preparation of test products by the Process Lab and the Tasting Corner for sensory evaluation and clinical trials as a result of research on nutrition and food. Maintenance and distribution of industrial strains".



# Probiotics:

## Many formulations as part of daily life

For Internal Use Only



Shelf-stable dairy, Nutrition



Chilled dairy

Confectionery



Cereals



Pet Care



Delivery systems



Non-dairy beverages



Ice cream



Beauty & Health care supplements

# Probiotic Production Technology - Content

## 1. What

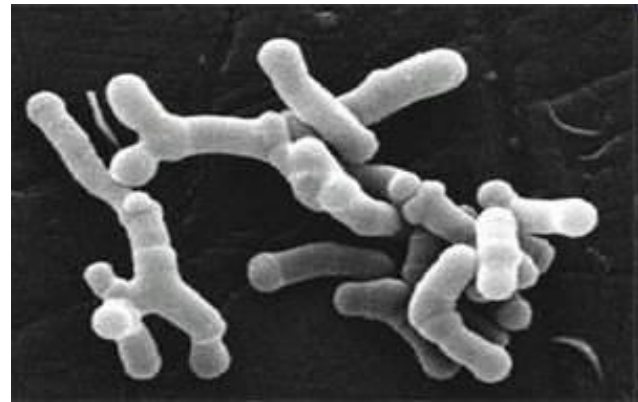
- a. Viability
- b. Yield
- c. Functionality

### Definition of Probiotics

*“live microorganisms that, when administered in adequate amounts, confer a health benefit on the host”*

## 2. How

- a. Increasing the strain stability
- b. Stabilizing process conditions
  - Fermentation
  - Drying
  - Mode of reconstitution
  - Genetic stability
- c. Understanding the mechanisms of probiotic functionality



## 3. Scale up

## 4. Outlook and Conclusions



# Probiotic Food Products – Examples from the western world



## Frozen products

- Ice cream

## Refrigerated products

- Fermented dairy products
- Fruit juices

## Shelf-stable products

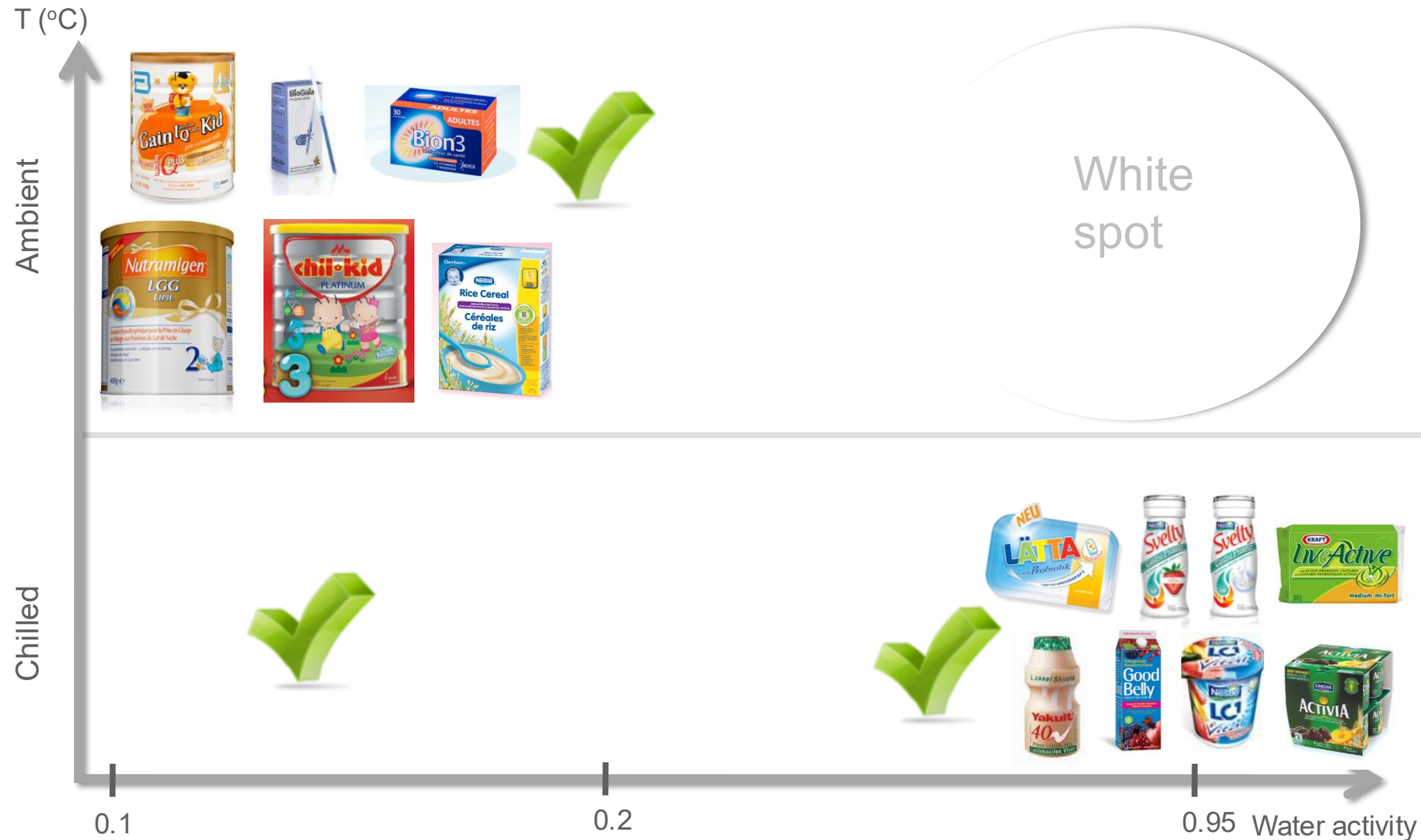
- Milk powder (infant and children)
- Breakfast cereals, muesli
- Biscuits, bars, candies, chocolate..
- Pet food (esp. cats & dogs)
- Medical food powders
- Supplements





# Overview of storage regimes

## Dried and ambient vs. Liquid and chilled



# Overview of the manufacturing process options and product formulation

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*Ingredient*

**Growth/fermentation**

*In-Product*

**Food grade growth media**

In fermenter

Aim: Maximal biomass

**In-product fermentation**

In container or fermentation tank

Aim: Optimal sensory & biomass

**Biomass**

**Fermented milk**

Drying

Encapsulation

Sterile addition  
into end product

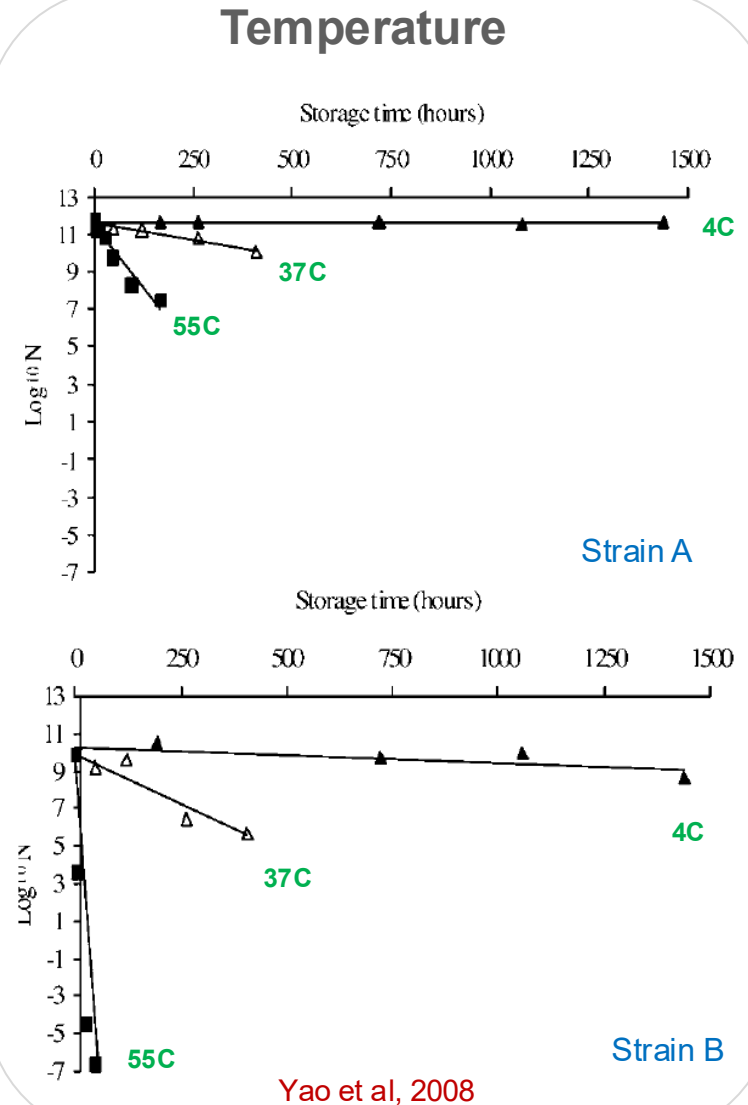
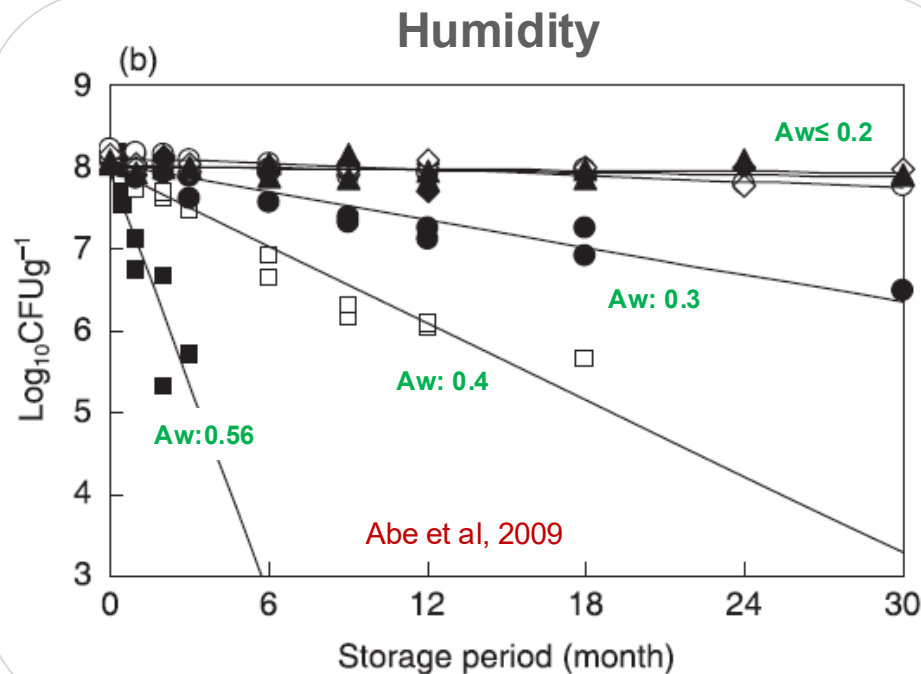
Drying

Drying

Mix with end product



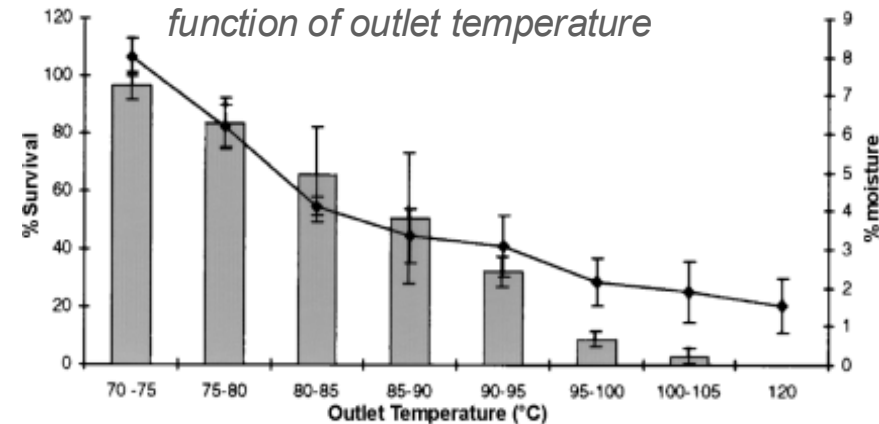
# Storage of dry products – T and $a_w$ as critical factors



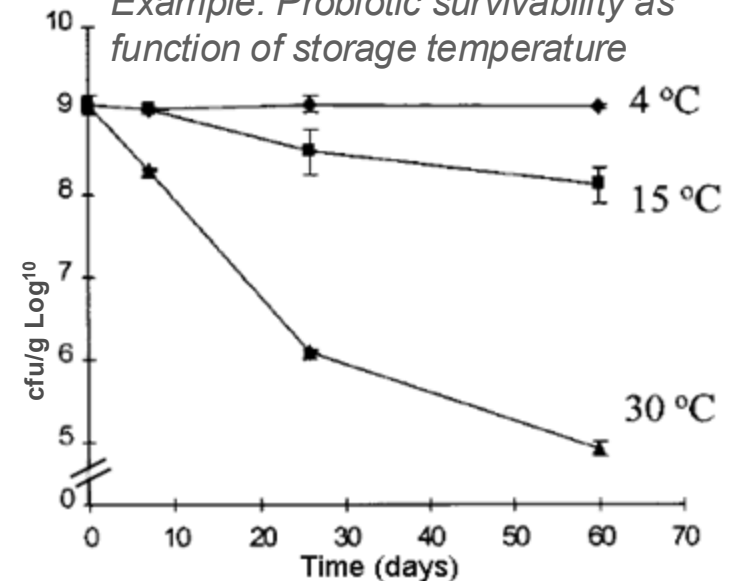
# The main challenge is to keep the probiotics viable during processing and storage

- Production
  - Survivability
  - Extreme temperature changes
  - Osmotic pressure changes
  - Oxygen stress
  
- Consumption
  - Storage stability
  - Remain viable during gastric transit
  - pH stress

*Example: Probiotic survivability as function of outlet temperature*



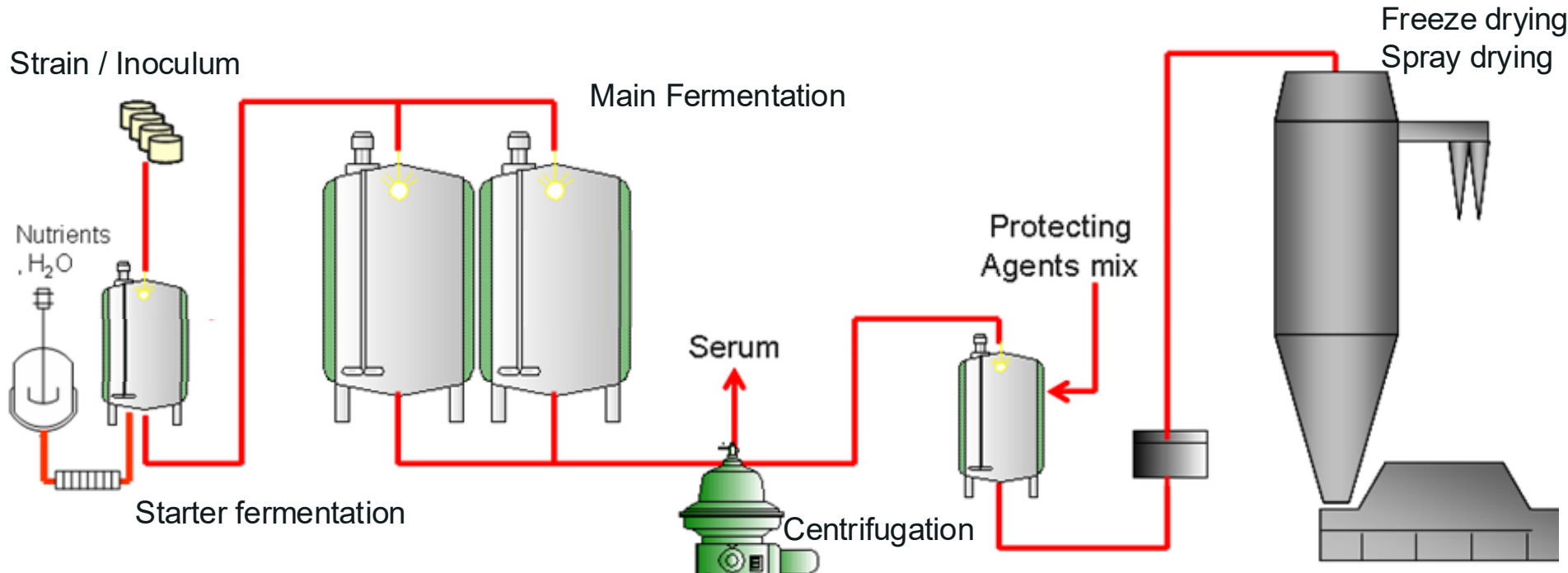
*Example: Probiotic survivability as function of storage temperature*



I. Jankovic (2010), Ananta *et al.* (2005), R.P. Ross *et al.* (2005), C. Stanton *et al.* (2003), T. Mattila-Sandholm (2002), G.E. Gardiner *et al.* (2000).



# Production of dried –*shelf stable*- probiotics



Yield and viability is influenced by:

- Strain
- Medium composition
- Fermentation Conditions
- Protective/Stabilizing agents
- Down stream processing conditions

Muller et al. Manufacture of probiotic bacteria. In Prebiotics and Probiotics Science and Technology. 2009. Ed Charalampopoulos and Rastall

## Alternative drying techniques

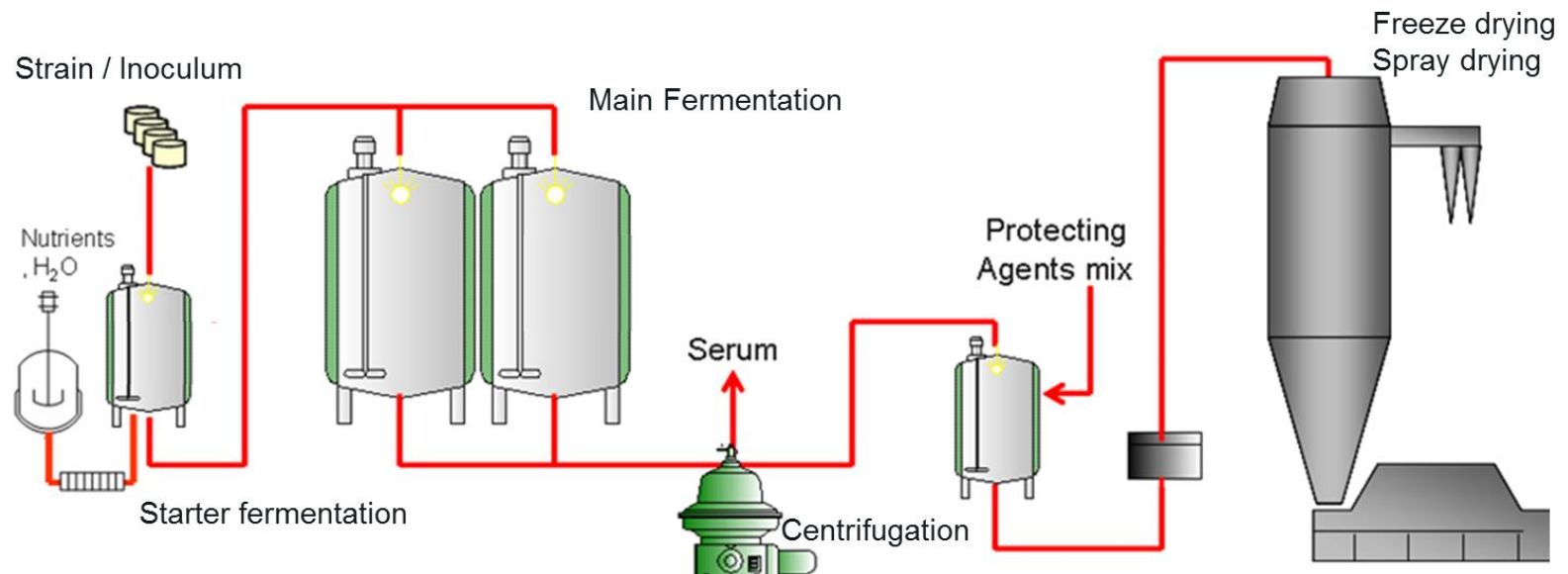
**Table 1. Costs of Drying Processes Referenced to That of Freeze Drying<sup>a</sup>**

drying processes	fixed costs (%)	manufacturing costs (%)
freeze drying	100.0	100.0
vacuum drying	52.2	51.6
spray drying	12.0	20.0
drum drying	9.3	24.1
fluidized bed drying	8.8	17.9
air drying	5.3	17.9

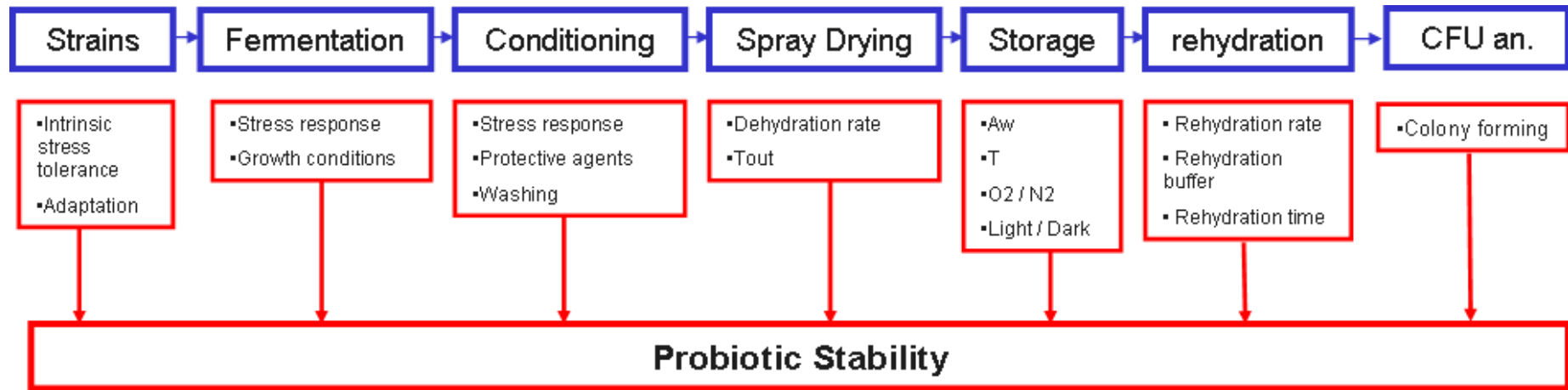
Santivarangkna et al (2007) Biotechnol. Progress

## Question:

- What is a potential limitation of this process?
- Do you know a probiotic product concept that does not have this problem?



# Probiotic stability is affected by many steps in the production process



Main questions to answer are:

*When/where are cells (ir)-reversibly damaged/killed?*

→ Drying, storage, rehydration, ...?

*How/by what are cells (ir)-reversibly damaged/killed?*

→ Membrane damage, DNA damage, ribosome damage, protein damage, ...? by heat, osmolarity, oxidation, ...?

*How/what is the cellular response for survival?*

→ Membrane repair, DNA repair, ribosome repair, chaperones, anti oxidation, ...?

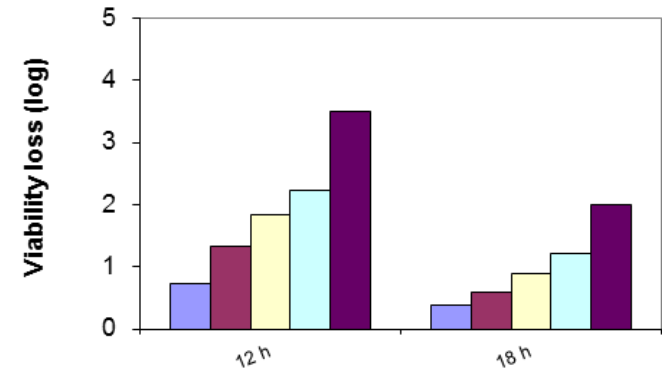
*Why do some cells survive better than others?*

# Increased shelf life stability after application of different fermentation conditions

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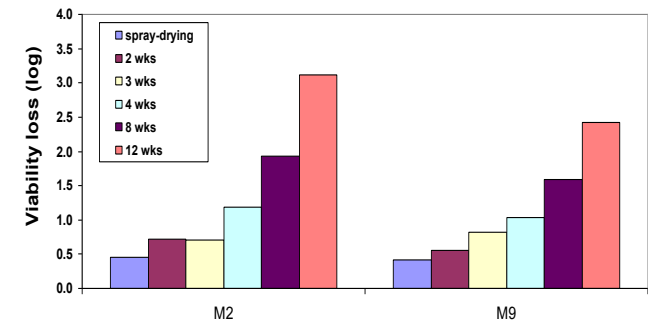
## 1. Moment of Harvesting / Fermentation Time

→ *Why?*



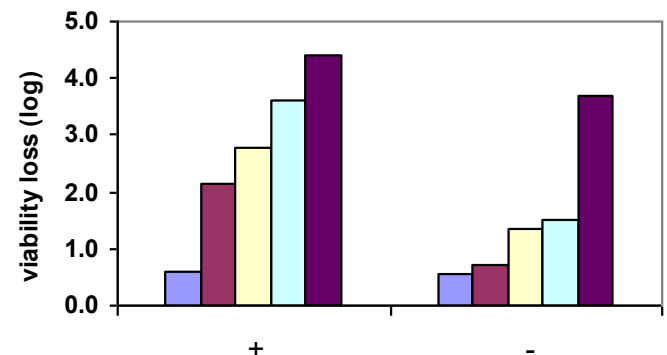
## 2. pH controlled fermentation vs. acidified fermentation

→ *Why?*



## 3. Medium ingredients

→ *Why?*



Bifidus - Accelerated Storage Test  
37° C, Aw 0.22

W. Sybesma, A. Dubois unpublished results



# Increased shelf life stability after application of different fermentation conditions

## 1. Moment of Harvesting / Fermentation Time

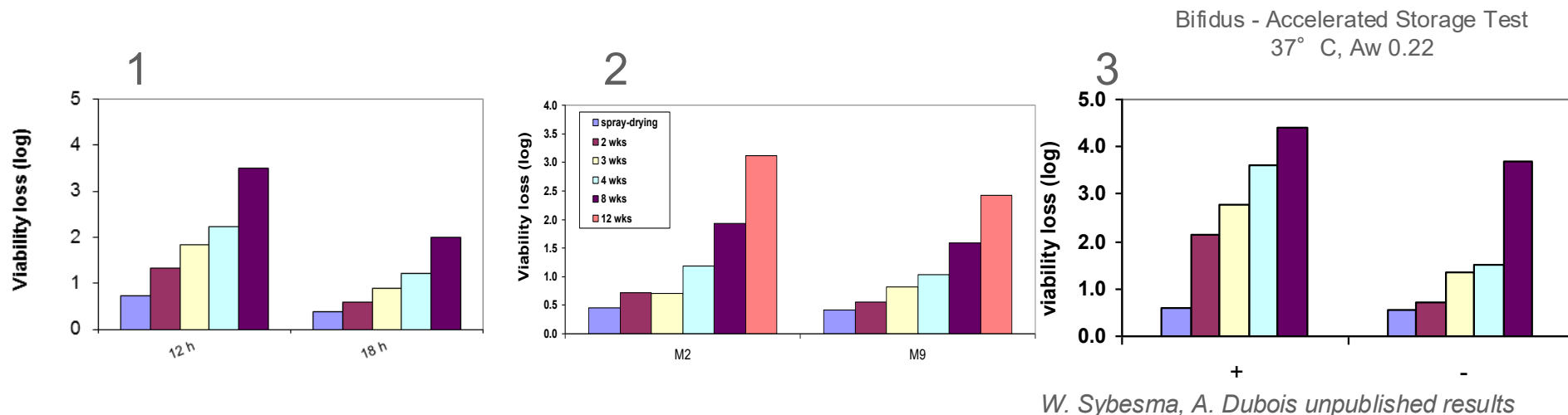
→ *Cells in stationary phase are more stable than end of exponential phase*

## 2. pH controlled fermentation vs. acidified fermentation

→ *Acidified cultures are more stable than pH controlled cultures*

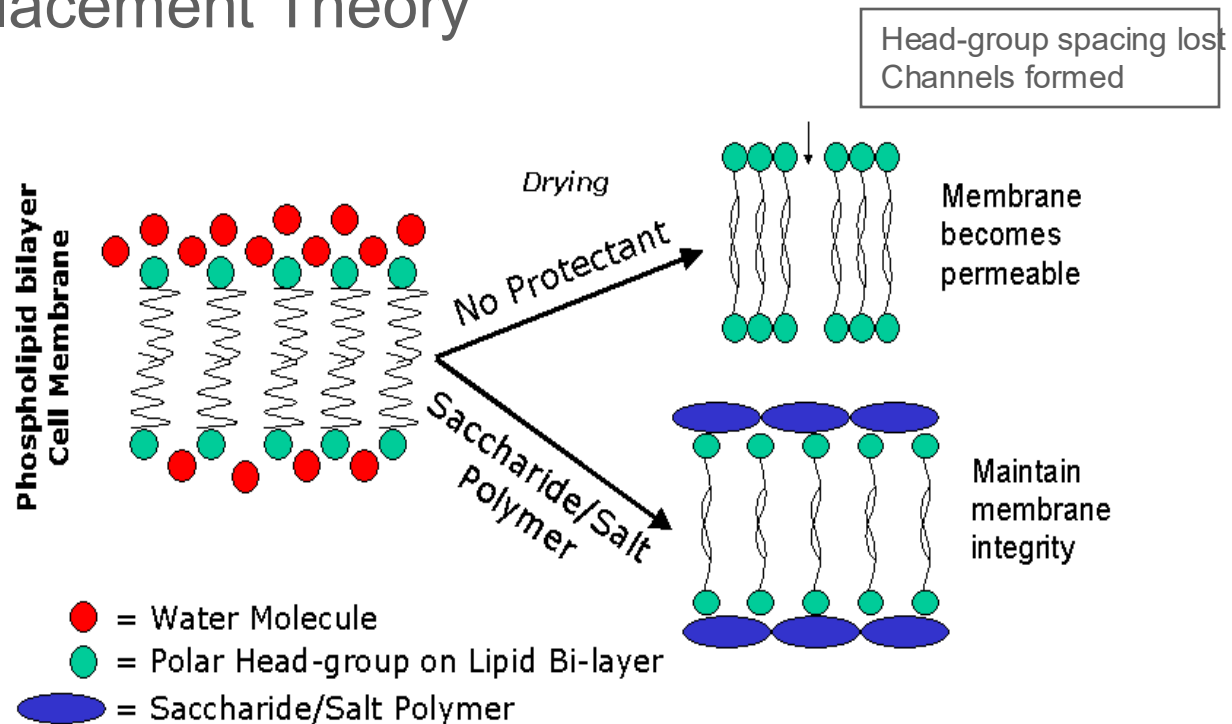
## 3. Medium ingredients (e.g. addition of anti oxidants)

→ *Anti-oxidative properties enhancing cell viability*



# Increasing the stability of dried bacteria by using (cryo)protective agents

## Water replacement Theory

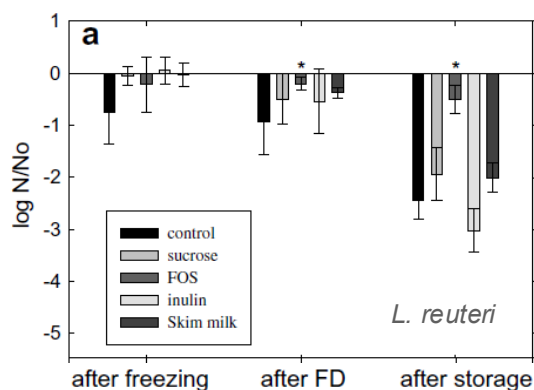


*Sucrose and trehalose protect membranes and proteins in vitro from dehydration damage*

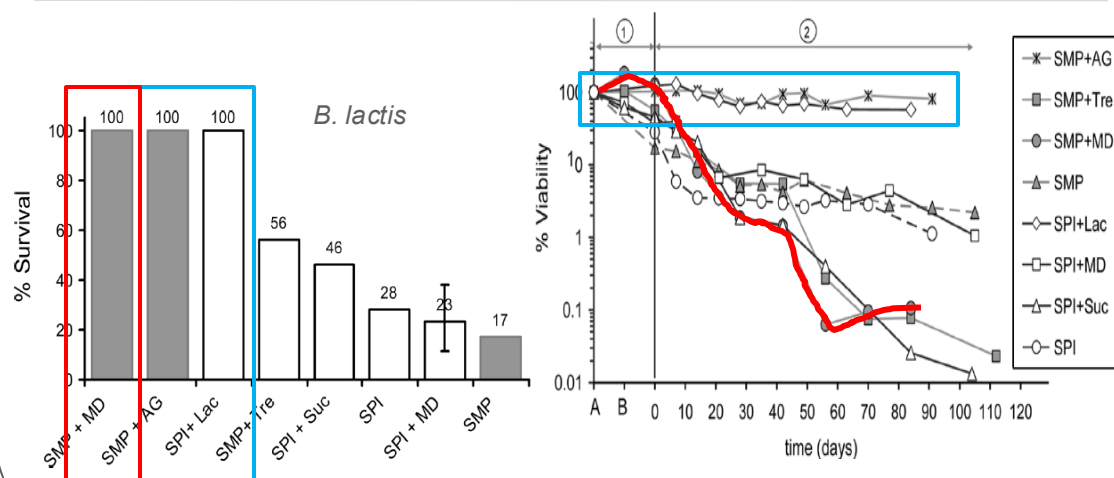
*Hianik et al. Bioelectro. and Bioener. 1996 39, 299-302; Viera et al. Biochim. Biophys. Acta 1993 1145, 157-167; Anchordoquy et al. Cryobiology 1987 24, 324-331.*

# Encapsulation or protective agent mixes as strategies to minimize viability loss (1/2)

## Protective agent/carrier



Schwab et al, Cryobiology 55 (2007), 108-114



Chavez & Ledebauer, Drying Technol. 25 (2007), 1193-1201

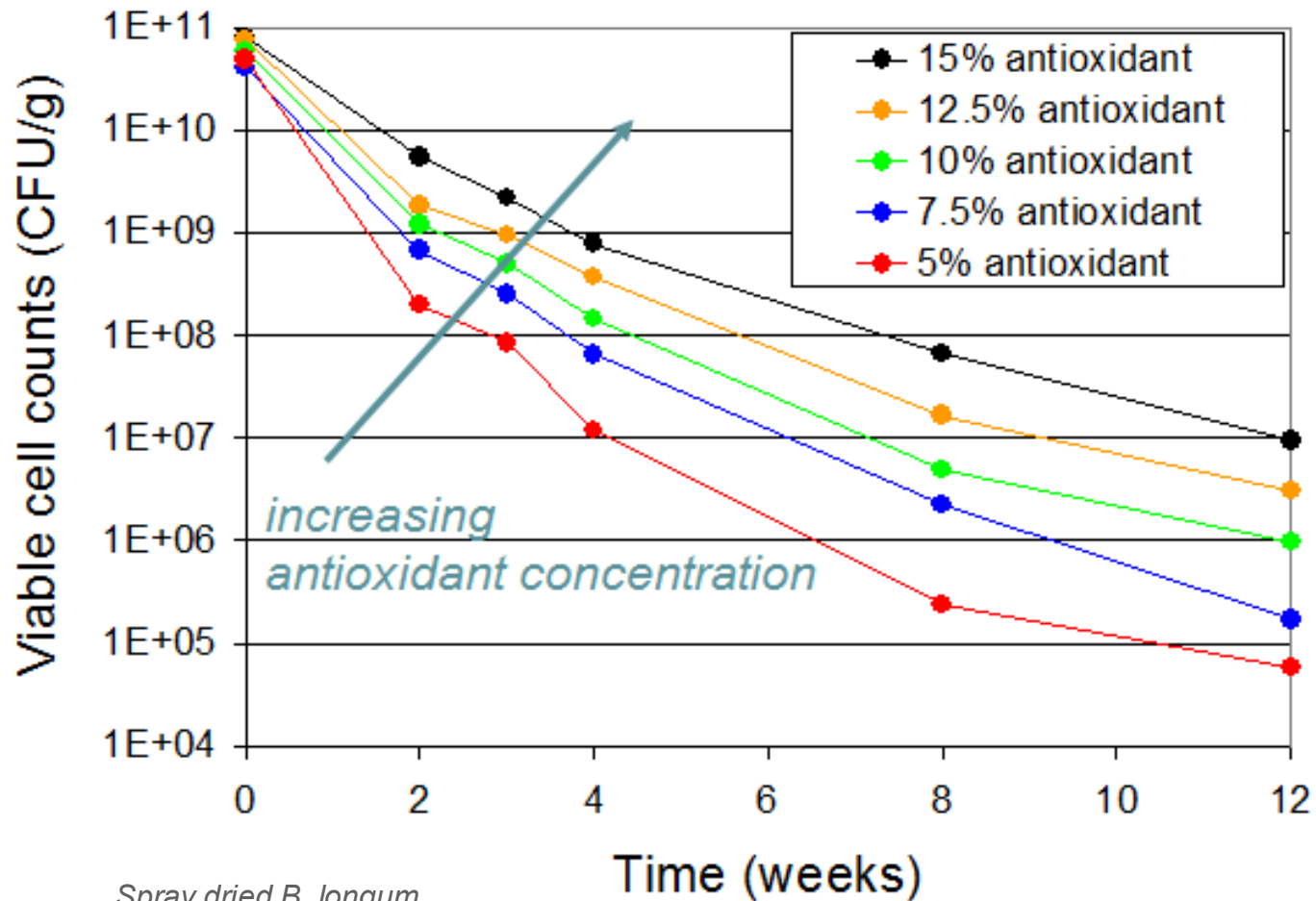
## Encapsulation



Barry Callebaut, Lal Food

# Drying: examples of strategies to minimize viability loss (2/2)

Composition of (cryo)protective agents affects the cell viability

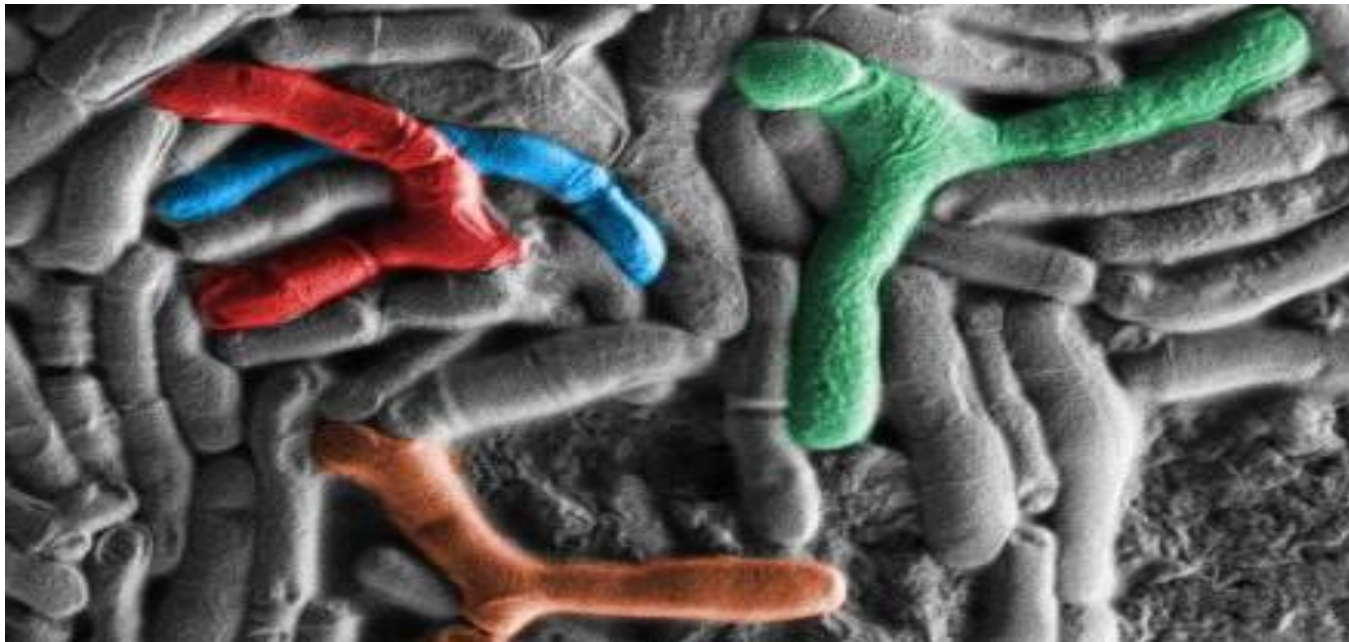


Spray dried *B. longum*  
Accelerated Storage Test  
37° C, Aw 0.22



# Increasing probiotic yield and stability is important, but ....

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# .... at the end it is all about functionality and health benefits

## Immunity

- Immune boost
- Inflammation

>800 published human studies



## Allergy

- Prevention of atopic disease
- Treatment of atopic dermatitis
- Secondary prevention of respiratory allergies

## Infections

- H. pylori*
- Common cold
- Otitis media
- Vaginosis
- Oral health

## Diarrhea

- Treatment
- Prevention



## Skin health and beauty

- Skin drynes
- Skin sensitivity
- Recovery from UV

## Weight management

- Weight gain,
- satiety,
- energy intake

## Digestive comfort

- Microbiota balance
- IBS, pain, bloating, flatulence,...
- Quality of life (gut brain axis)
- Lactose intolerance
- Putrafactive fermentation and cancerogenes
- Regulation of gut transit time



## Healthy ageing

- CVD
- Improved bioavailability of active ingredients

## Performance

- Physical performance
- Cognitive performance

## Growth and Development

- Promotion of infants growth
- Improved bioavailability of active ingredients

# Probiotics: Do they need to be alive?

*it cannot always be ascertained if the claimed functional effects of probiotic preparations are delivered by the **biomass, intracellular- or extracellular cell components**, and/or **media derived bioactive compounds***

**Different concepts of probiotic production processes and related potential probiotic derived bioactive compound.**

Production Process	Potential Probiotic Derived Bioactive Compounds					Products/Examples
	Living probiotic cell	Fermented medium component	Intracellular components	Extracellular components	Killed probiotic cell	
Fermented product as a whole	Yes	Yes	Yes	Yes	Yes	Fermented yogurt drinks with probiotics
Cultivated and down stream processed* without culture medium	Yes	No	Yes	Yes	Yes	Dried culture powders
Cultivated and down stream processed* without cells	No	Yes	No	Yes	No	Spent culture medium, for example, see [64]
Cultivated, killed and downstream processed with or without culture medium	No	Yes	Yes	Yes	Yes	Heat treated lactobacilli, for example, see [65]
Potential mode of action/main active component	Signalling through metabolites or cell components or activity through direct contact	Lactic acid derived from carbohydrates, bioactive peptides derived from milk proteins or raw materials	DNA, cellular proteins or peptides	Bacteriocins, antimicrobial peptides, cell wall components	Activity through direct contact or by cell components	

\* For example, frozen, spray dried, freeze dried, encapsulated.

*Jankovic, Sybesma et al. Current Opinion in Biotechnology 2010, 21:175–181*



# Non-viable probiotic microorganisms or probiotic cell components demonstrated to elicit an immune response

**BioCare**  
COPENHAGEN

## Wanted dead or Alive

What are the differences between live and dead beneficial bacteria

**Probiotics** are defined as live microorganisms that when administered in adequate amounts confer a health benefit to the host.

Inactivated ("dead") beneficial bacteria are not considered probiotics. They belong to the group of postbiotics.

**Postbiotics** are defined as preparations of inanimate microorganisms, and/or their components that confers a health benefit on the host.

Dead and live bacteria can have common beneficial effects such as immunomodulatory actions however, there is also differences

What are the main differences between Probiotics and Postbiotics

Can proliferate and colonize the intestinal microbiome	Cannot proliferate and colonize the intestinal microbiome
Benefits are extensively documented in clinical studies for various health benefits	Benefits are not yet well established and more evidence is needed
Health benefits are strain specific	Different metabolite production by specific strains requires further research
Can excrete bacteriocins that inhibit pathogens	Do not excrete bacteriocins that inhibit pathogens
Stimulate the production of beneficial SCFA	Limited ability to stimulate the production of SCFA
Ability to metabolize harmful molecules (cholesterol), thus benefit host metabolism	Limited ability to metabolize harmful molecules (cholesterol), thus benefit host metabolism
Limited stability	High stability
Risk of transferring antibiotic resistance genes	No risk of transferring antibiotic resistance genes

References: 1. Adams, *Nutrition Research Reviews* (2010), 23, 37–46; 2. Piqué et al., *Int. J. Mol. Sci.* 2019, 20, 2534; 3. Żółkiewicz et al., *Nutrients* 2020, 12, 2189

*Nutrition Research Reviews* (2010), 23, 37–46  
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## The probiotic paradox: live and dead cells are biological response modifiers

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Review

## Health Benefits of Heat-Killed (Tyndallized) Probiotics: An Overview

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Review

## Postbiotics—A Step Beyond Pre- and Probiotics

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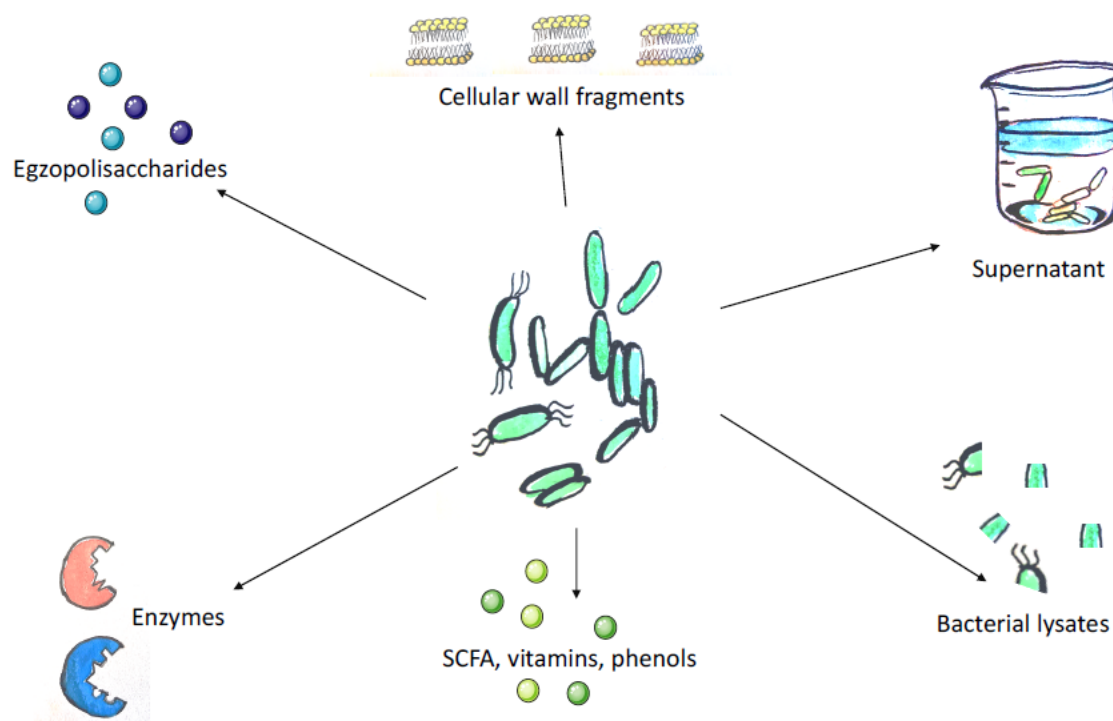




# Postbiotics

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**Figure 2.** Methods of acquisition of postbiotics. The concept of postbiotics conceals either metabolites or fragments of microorganisms which confer a beneficial effect to the host. The structural heterogeneity of postbiotics implies the abundance of possible techniques used to postbiotics' acquisition. Lysis of bacterial cells may be achieved by chemical and mechanical techniques. These methods include enzymatic extraction, solvent extraction, sonication, and heat. Extraction, dialysis, and chromatography are used to isolate and identify desired molecules. SCFA, short-chain fatty acids.

- Extend the use of health promoting bacteria to products in which they are difficult to maintain alive :

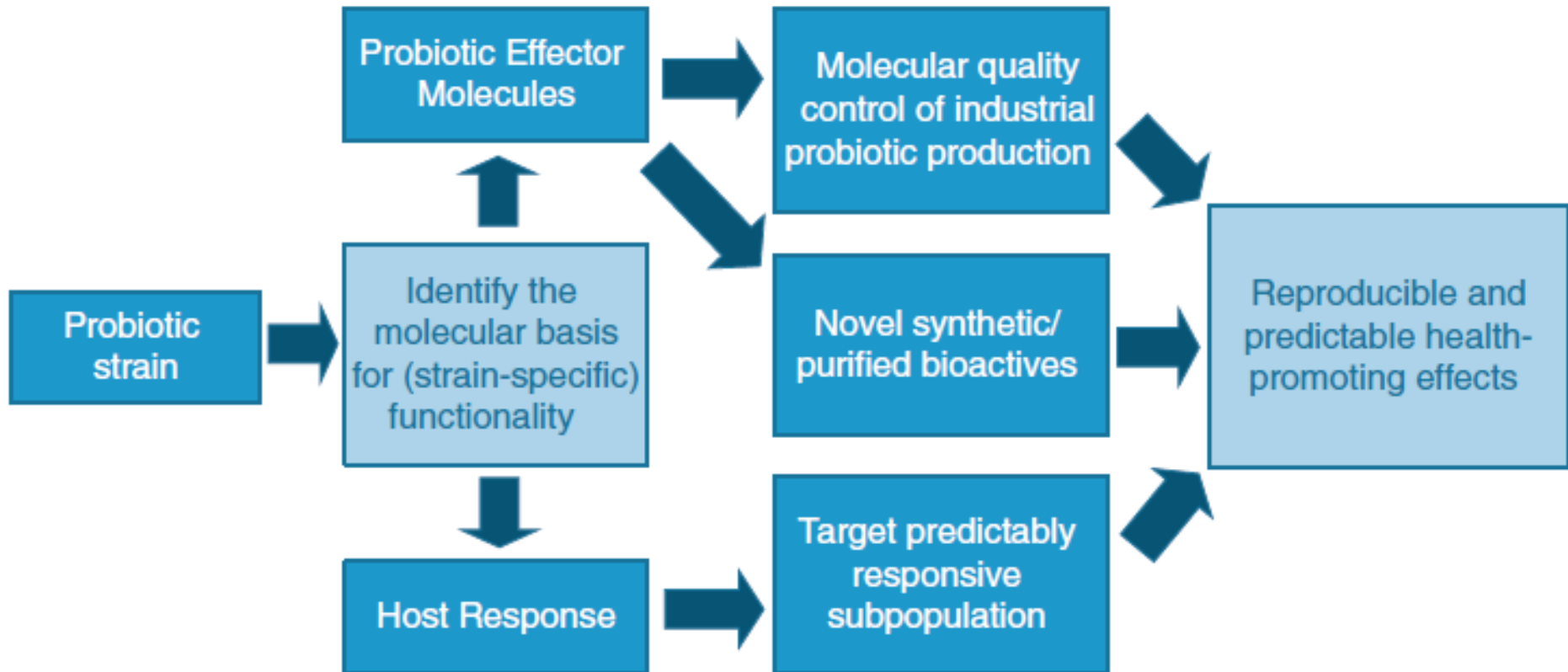
- High moisture & water activity products
- High acidity products (fruit juices,..)
- Shelf stable products (cereals, cereal bars..)



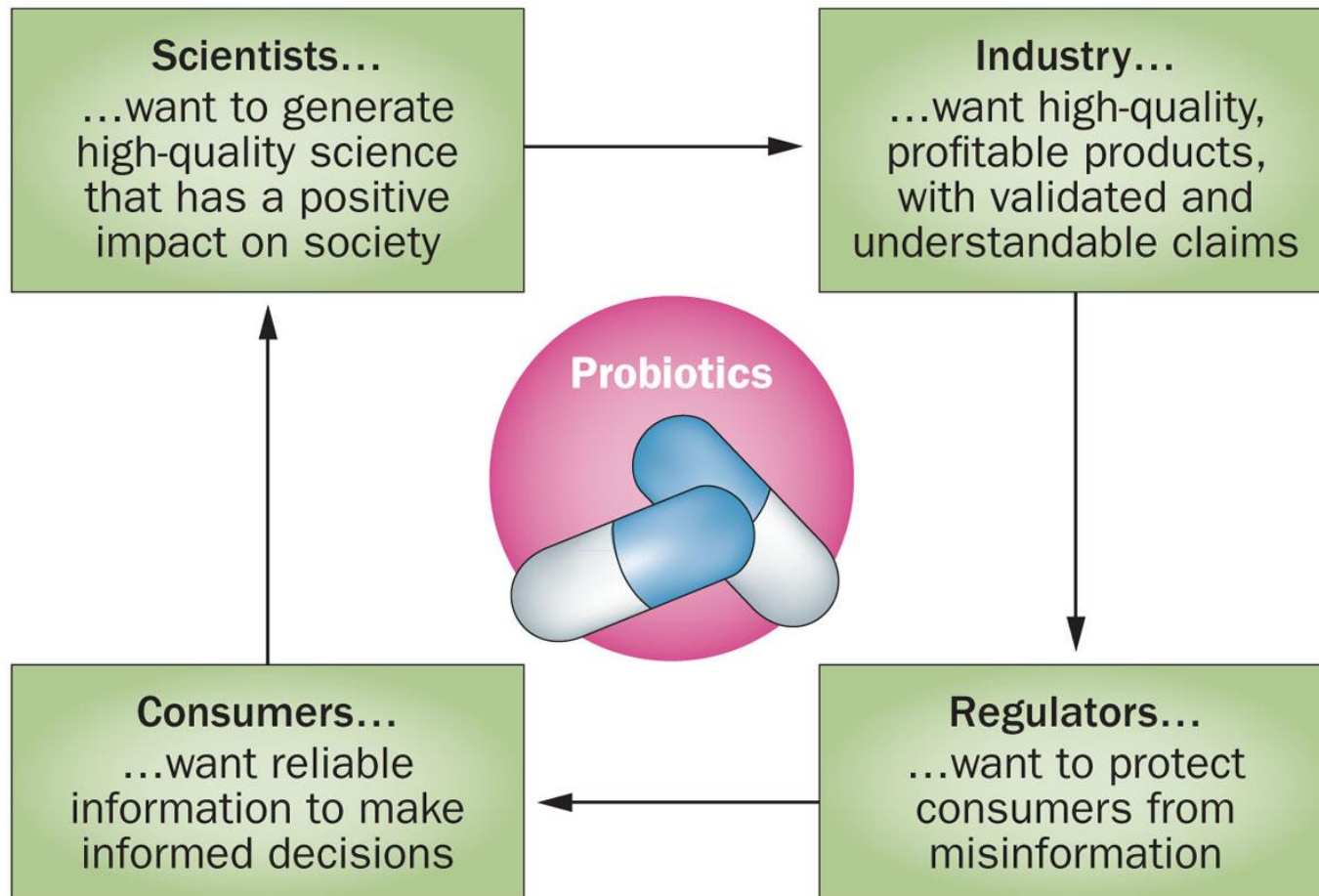
- Increased shelf life, less stringent storage conditions, less stringent packaging specifications
- May enable hot usage for consumers
- Safety: Better suited for critically ill or immuno-compromised subjects

# The future: From cfu to understanding mechanism of action and personalized nutrition

For Internal Use Only



Bron , et al. *Current Opinion in Microbiology*, Volume 16, Issue 3, 2013, 262 - 269



Hill, C. *et al.* (2014) The International Scientific Association for Probiotics and Prebiotics consensus statement on the scope and appropriate use of the term probiotic  
*Nat. Rev. Gastroenterol. Hepatol.* doi:10.1038/nrgastro.2014.66

## Movie explaining the microbiome

<https://youtu.be/xEo3N9EOpgw>

